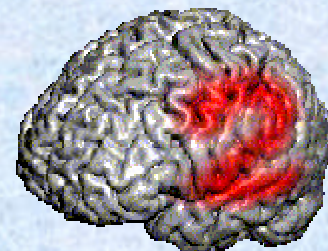


Spatial Preprocessing

John Ashburner
john@fil.ion.ucl.ac.uk

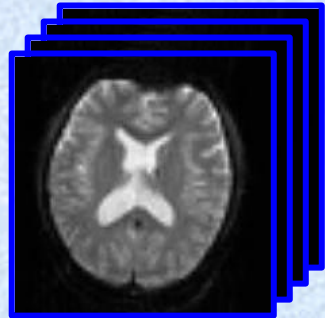
- ⌘ Smoothing
- ⌘ Rigid registration
- ⌘ Spatial normalisation

With slides by Chloe Hutton and Jesper Andersson

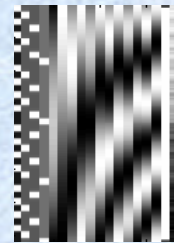


Overview of SPM Analysis

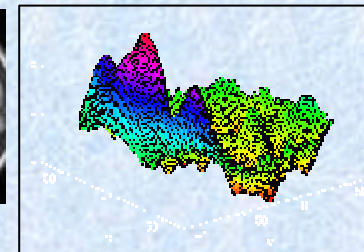
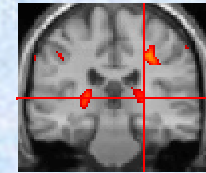
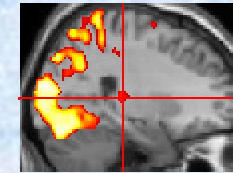
fMRI time-series



Design matrix



Statistical Parametric Map



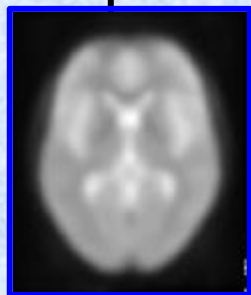
Motion Correction

Smoothing

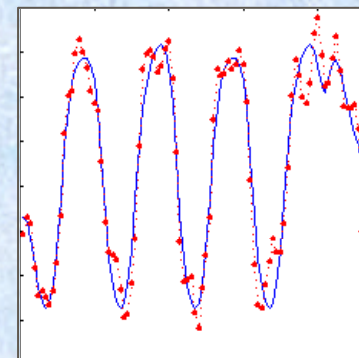
General Linear Model

Spatial Normalisation

Parameter Estimates



Anatomical Reference



Contents

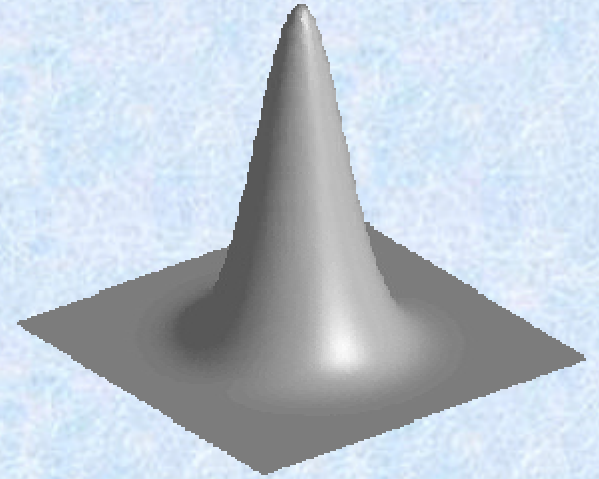
⌘ Smoothing

⌘ Rigid registration

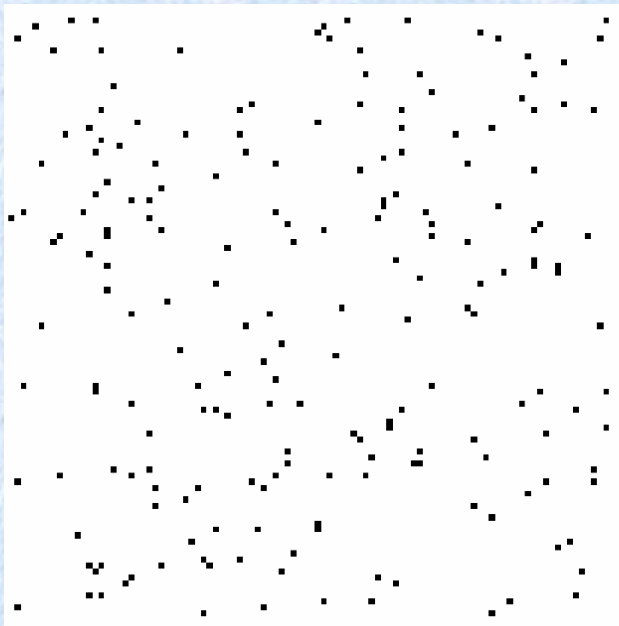
⌘ Spatial normalisation

Smoothing

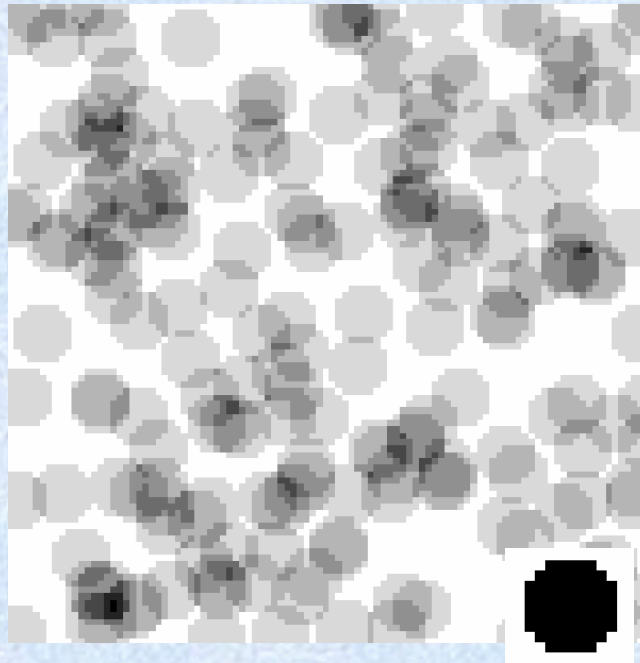
Each voxel after smoothing effectively becomes the result of applying a weighted region of interest (ROI).



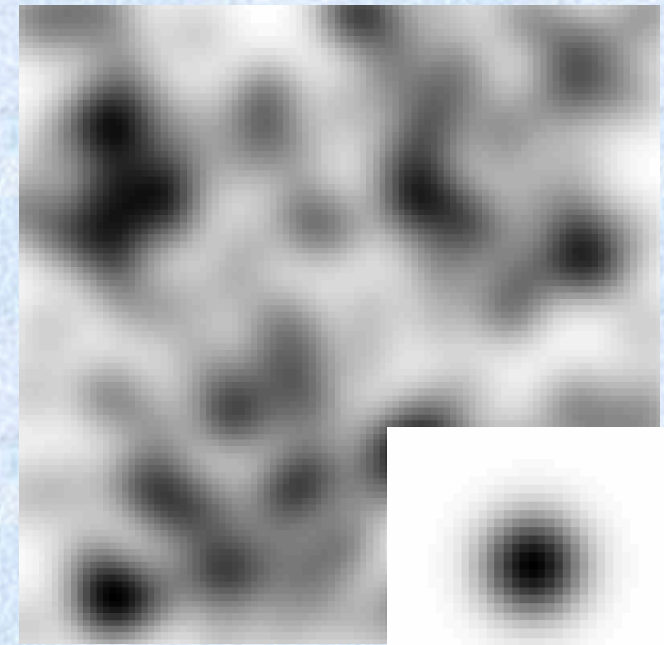
Before convolution



Convolved with a circle



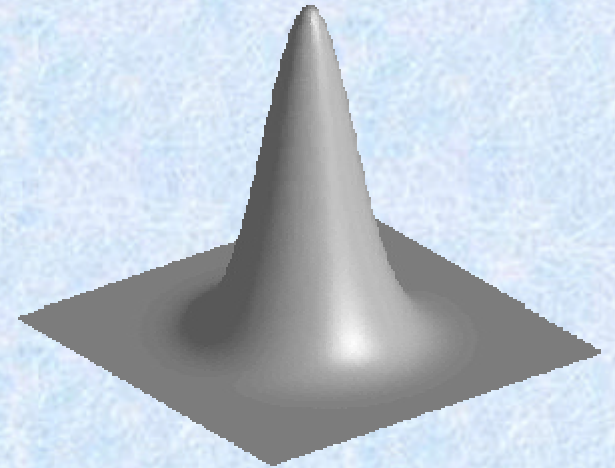
Convolved with a Gaussian



Smoothing

⌘ Why smooth?

- ☑ Potentially increase sensitivity
- ☑ Inter-subject averaging
- ☑ Increase validity of SPM



⌘ Smoothing is a convolution with a Gaussian kernel

Gaussian convolution
is separable



Contents

⌘ Smoothing

⌘ Rigid registration

☑ Rigid-body transforms

☑ Optimisation & objective functions

☑ Interpolation

⌘ Spatial normalisation

Within-subject Registration

- ⌘ Assumes there is no shape change, and motion is rigid-body
- ⌘ Used by [realign] and [coregister] functions
- ⌘ The steps are:
 - ⌘ **Registration** - i.e. Optimising the parameters that describe a rigid body transformation between the source and reference images
 - ⌘ **Transformation** - i.e. Re-sampling according to the determined transformation

Affine Transforms

⌘ Rigid-body transformations are a subset

⌘ Parallel lines remain parallel

⌘ Operations can be represented by:

$$x_1 = m_{11}x_0 + m_{12}y_0 + m_{13}z_0 + m_{14}$$

$$y_1 = m_{21}x_0 + m_{22}y_0 + m_{23}z_0 + m_{24}$$

$$z_1 = m_{31}x_0 + m_{32}y_0 + m_{33}z_0 + m_{34}$$

⌘ Or as matrices:

$$\mathbf{Y} = \mathbf{M}\mathbf{x}$$

$$\begin{bmatrix} x_1 \\ y_1 \\ z_1 \\ 1 \end{bmatrix} = \begin{bmatrix} m_{11} & m_{12} & m_{13} & m_{14} \\ m_{21} & m_{22} & m_{23} & m_{24} \\ m_{31} & m_{32} & m_{33} & m_{34} \\ 0 & 0 & 0 & 1 \end{bmatrix} \times \begin{bmatrix} x_0 \\ y_0 \\ z_0 \\ 1 \end{bmatrix}$$

2D Affine Transforms

⌘ Translations by t_x and t_y

$$\boxed{\wedge} x_1 = x_0 + t_x$$

$$\boxed{\wedge} y_1 = y_0 + t_y$$

⌘ Rotation around the origin by Θ radians

$$\boxed{\wedge} x_1 = \cos(\Theta) x_0 + \sin(\Theta) y_0$$

$$\boxed{\wedge} y_1 = -\sin(\Theta) x_0 + \cos(\Theta) y_0$$

⌘ Zooms by s_x and s_y

$$\boxed{\wedge} x_1 = s_x x_0$$

$$\boxed{\wedge} y_1 = s_y y_0$$

⌘ Shear

$$\boxed{\wedge} x_1 = x_0 + h y_0$$

$$\boxed{\wedge} y_1 = y_0$$



2D Affine Transforms

⌘ Translations by t_x and t_y

$$\boxed{\wedge} x_1 = 1 x_0 + 0 y_0 + t_x$$

$$\boxed{\wedge} y_1 = 0 x_0 + 1 y_0 + t_y$$

⌘ Rotation around the origin by Θ radians

$$\boxed{\wedge} x_1 = \cos(\Theta) x_0 + \sin(\Theta) y_0 + 0$$

$$\boxed{\wedge} y_1 = -\sin(\Theta) x_0 + \cos(\Theta) y_0 + 0$$

⌘ Zooms by s_x and s_y :

$$\boxed{\wedge} x_1 = s_x x_0 + 0 y_0 + 0$$

$$\boxed{\wedge} y_1 = 0 x_0 + s_y y_0 + 0$$

⌘ Shear

$$\boxed{\wedge} x_1 = 1 x_0 + h y_0 + 0$$

$$\boxed{\wedge} y_1 = 0 x_0 + 1 y_0 + 0$$



3D Rigid-body Transformations

⌘ A 3D rigid body transform is defined by:

☑ 3 translations - in X, Y & Z directions

☑ 3 rotations - about X, Y & Z axes

⌘ The order of the operations matters

$$\begin{pmatrix} 1 & 0 & 0 & X_{\text{trans}} \\ 0 & 1 & 0 & Y_{\text{trans}} \\ 0 & 0 & 1 & Z_{\text{trans}} \\ 0 & 0 & 0 & 1 \end{pmatrix} \times \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & \cos F & \sin F & 0 \\ 0 & -\sin F & \cos F & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \times \begin{pmatrix} \cos T & 0 & \sin T & 0 \\ 0 & 1 & 0 & 0 \\ -\sin T & 0 & \cos T & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \times \begin{pmatrix} \cos O & \sin O & 0 & 0 \\ -\sin O & \cos O & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

Translations

Pitch
about x axis

Roll
about y axis

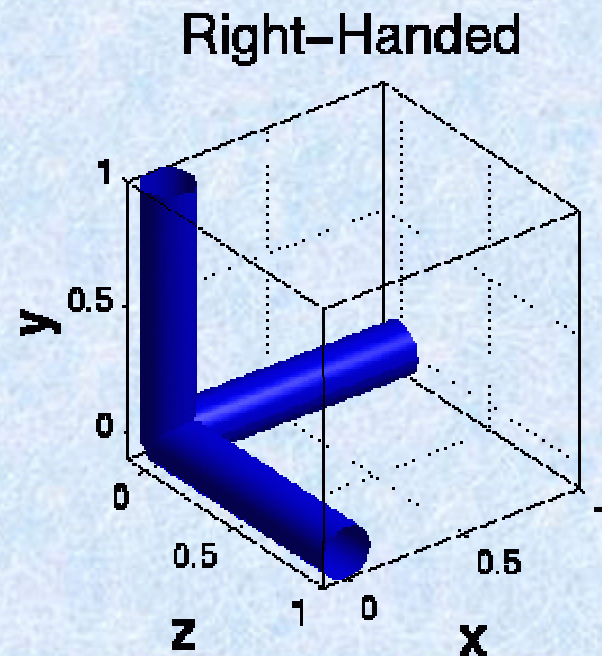
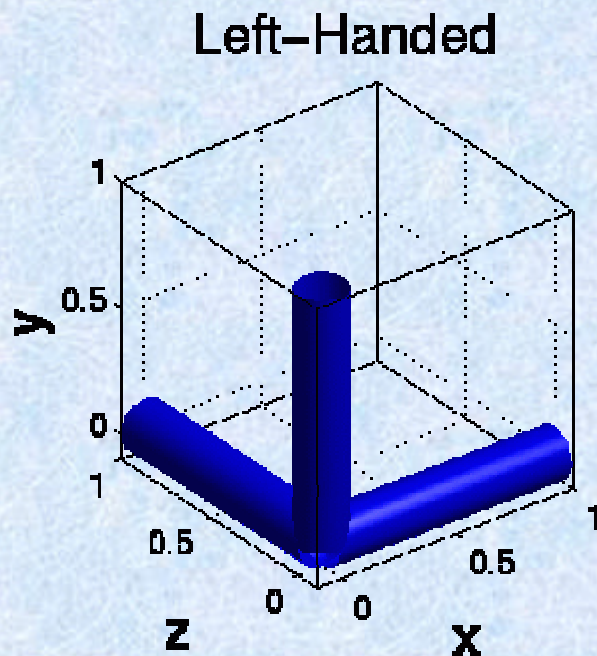
Yaw
about z axis

Voxel-to-world Transforms

- ⌘ Affine transform associated with each image
 - ⊞ Maps from voxels ($x=1..n_x, y=1..n_y, z=1..n_z$) to some world co-ordinate system. e.g.,
 - ⊞ Scanner co-ordinates - images from DICOM toolbox
 - ⊞ T&T/MNI coordinates - spatially normalised
- ⌘ Registering image B (source) to image A (target) will update B's vox-to-world mapping
 - ⊞ Mapping from voxels in A to voxels in B is by
 - ⊞ A-to-world using M_A , then world-to-B using M_B^{-1}
 - ⊞ $M_B^{-1} M_A$

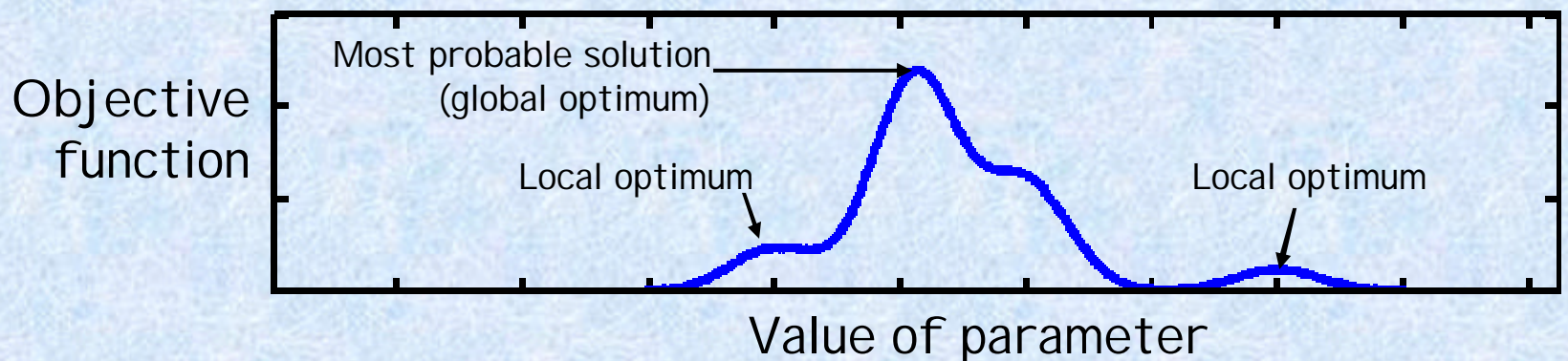
Left- and Right-handed Coordinate Systems

- ⌘ Analyze™ files are stored in a left-handed system
- ⌘ Talairach & Tournoux uses a right-handed system
- ⌘ Mapping between them requires a flip
 - ⏏ Affine transform with a negative determinant



Optimisation

- ⌘ Optimisation involves finding some “best” parameters according to an “objective function”, which is either minimised or maximised
- ⌘ The “objective function” is often related to a probability based on some model



Objective Functions for Image Registration

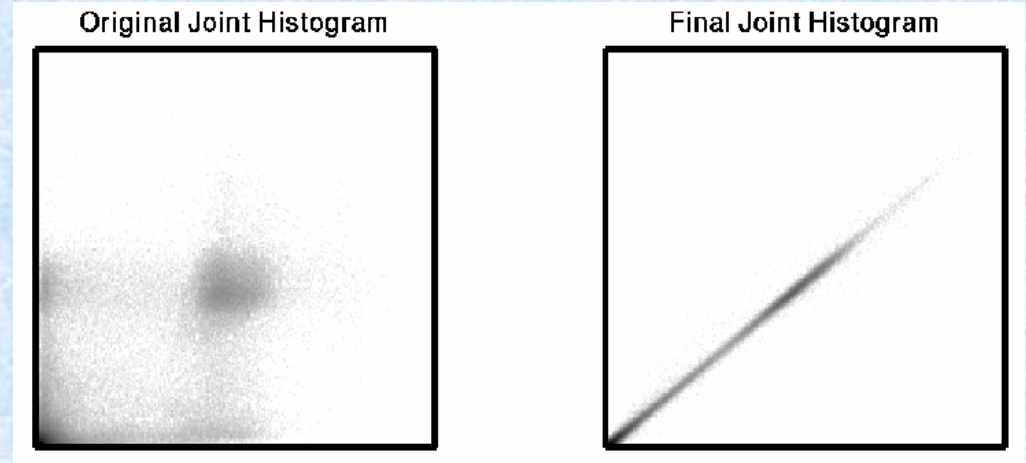
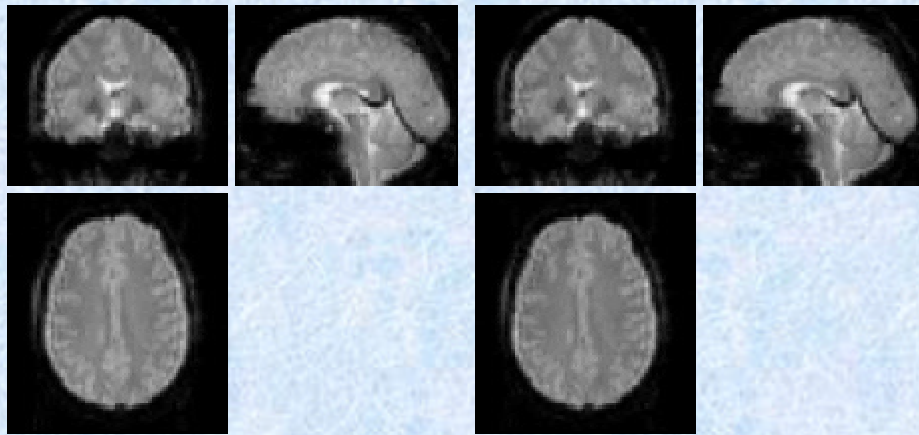
⌘ Intra-modal

- ⊞ Mean squared difference (minimise)
- ⊞ Normalised cross correlation (maximise)
- ⊞ Entropy of difference (minimise)

⌘ Inter-modal (or intra-modal)

- ⊞ Mutual information (maximise)
- ⊞ Normalised mutual information (maximise)
- ⊞ Entropy correlation coefficient (maximise)
- ⊞ AI R cost function (minimise)

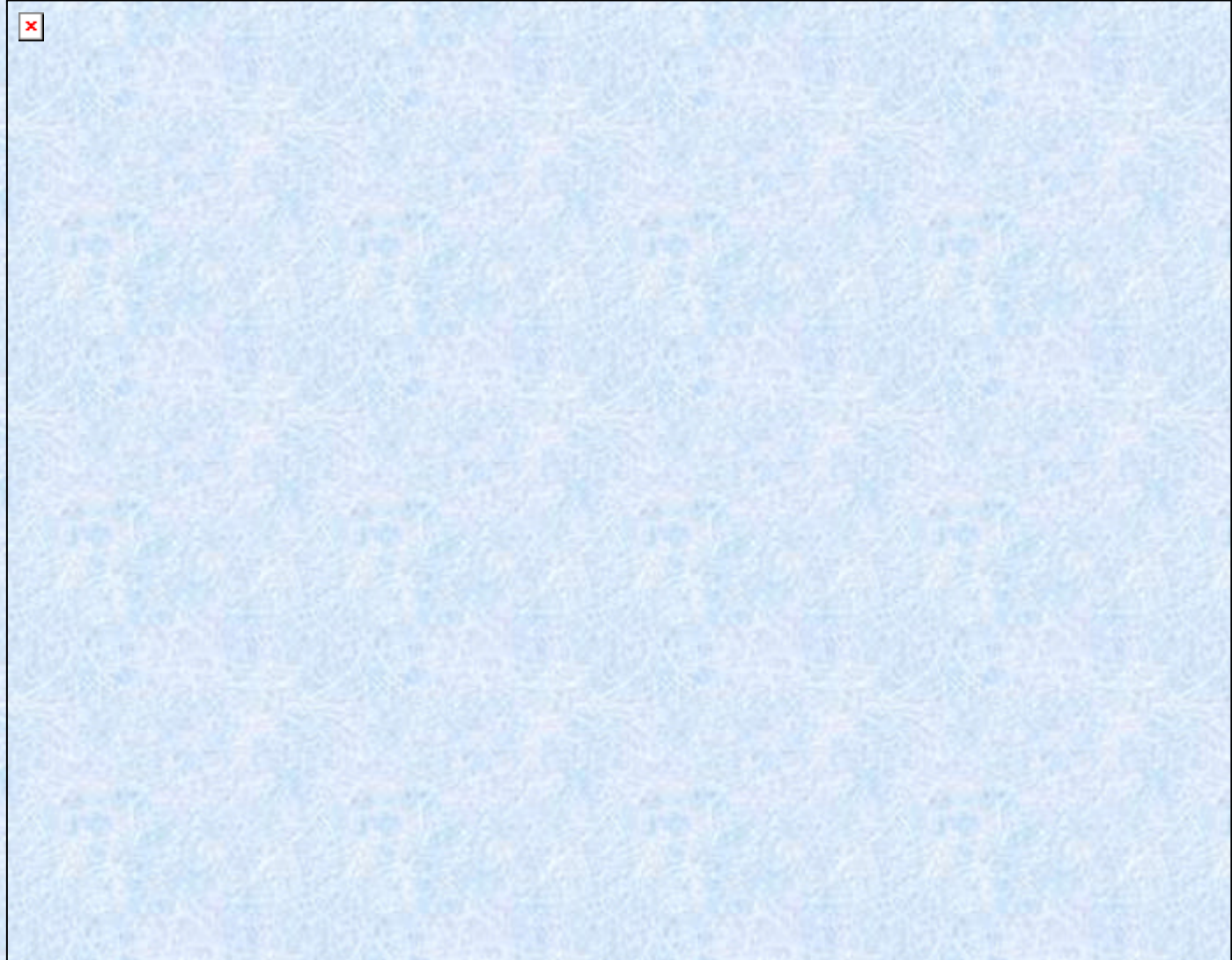
Mean-squared Difference



- ⌘ Minimising mean-squared difference works for intra-modal registration (realignment)
- ⌘ Simple relationship between intensities in one image, versus those in the other
 - ⏏ Assumes normally distributed differences

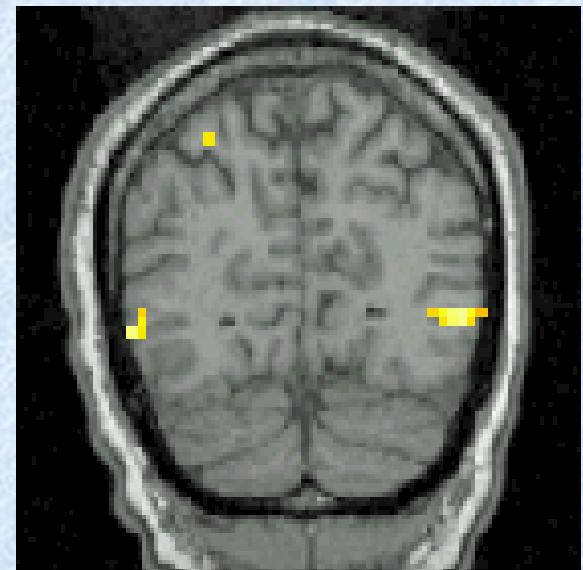
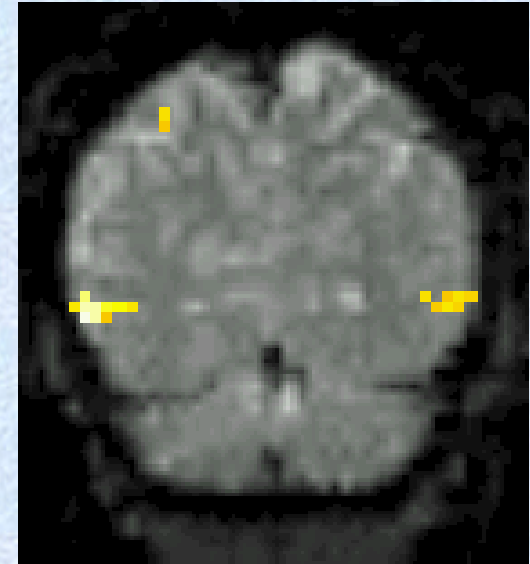
Gauss-newton Optimisation

- ⌘ Works best for least-squares
- ⌘ Minimum is estimated by fitting a quadratic at each iteration

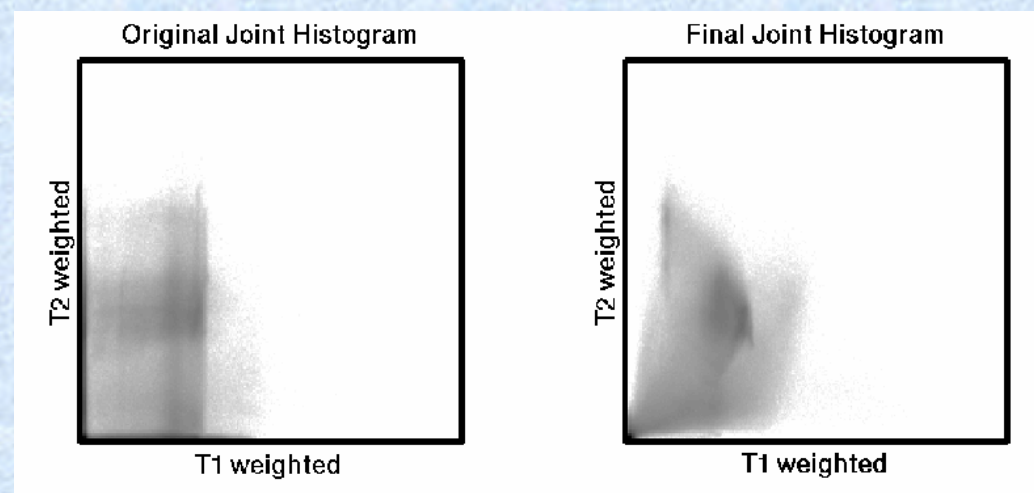
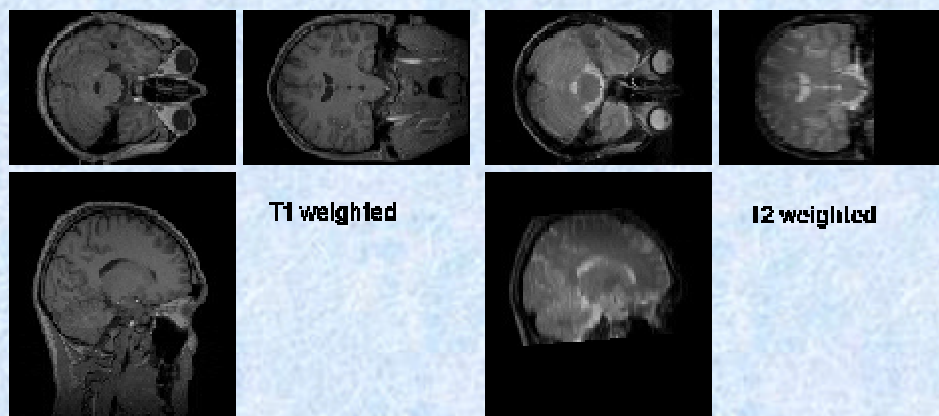


Inter-modal registration

- Match images from same subject but different modalities:
 - anatomical localisation of single subject activations
 - achieve more precise spatial normalisation of functional image using anatomical image.



Mutual Information



⌘ Used for between-modality registration

⌘ Derived from joint histograms

$$\text{MI} = \int_{ab} P(a,b) \log_2 [P(a,b) / (P(a) P(b))]$$

⌘ Related to entropy: $\text{MI} = -H(a,b) + H(a) + H(b)$

- Where $H(a) = -\int_a P(a) \log_2 P(a)$ and $H(a,b) = -\int_a P(a,b) \log_2 P(a,b)$

Image Transformations

⌘ Images are re-sampled. An example in 2D:

```
for  $y_0=1..n_{y_0}$  % loop over rows
  for  $x_0=1..n_{x_0}$  % loop over pixels in row
     $x_1 = t_x(x_0, y_0, \mathbf{q})$  % transform according to  $\mathbf{q}$ 
     $y_1 = t_y(x_0, y_0, \mathbf{q})$ 
    if  $1 \leq x_1 \leq n_{x_1}$  &  $1 \leq y_1 \leq n_{y_1}$  then % voxel in range
       $f_1(x_0, y_0) = f_0(x_1, y_1)$  % assign re-sampled value
    end % voxel in range
  end % loop over pixels in row
end % loop over rows
```

⌘ What happens if x_1 and y_1 are not integers?

Simple Interpolation

⌘ Nearest neighbour

☒ Take the value of the closest voxel

⌘ Tri-linear

☒ Just a weighted average of the neighbouring voxels

$$\text{☒ } f_5 = f_1 x_2 + f_2 x_1$$

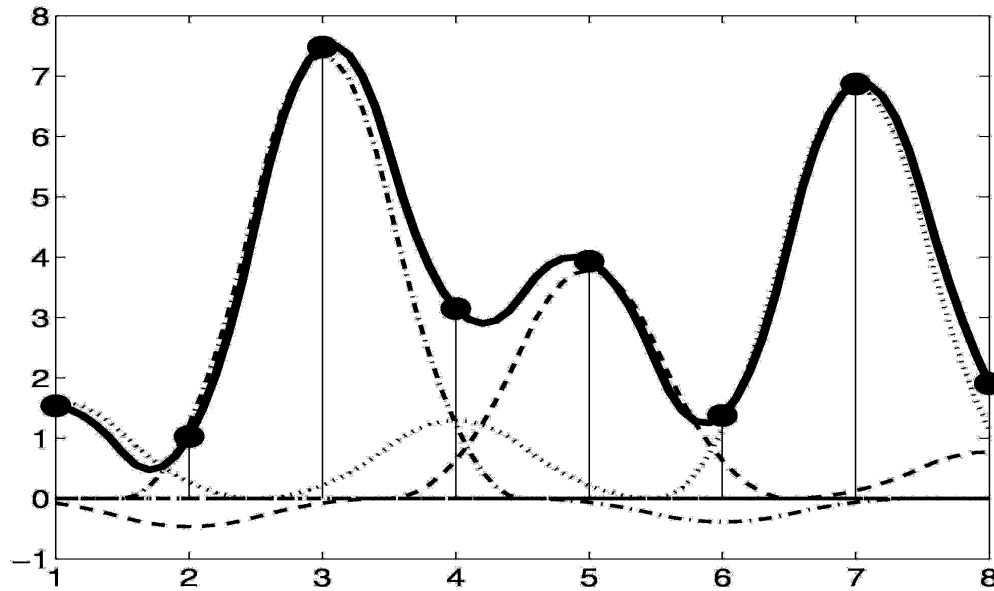
$$\text{☒ } f_6 = f_3 x_2 + f_4 x_1$$

$$\text{☒ } f_7 = f_5 y_2 + f_6 y_1$$

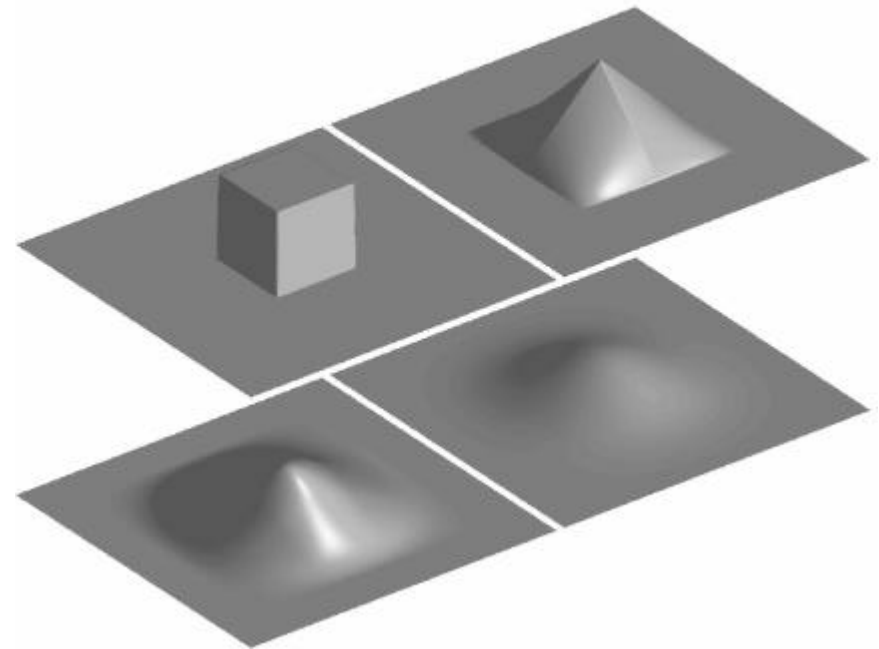


B-spline Interpolation

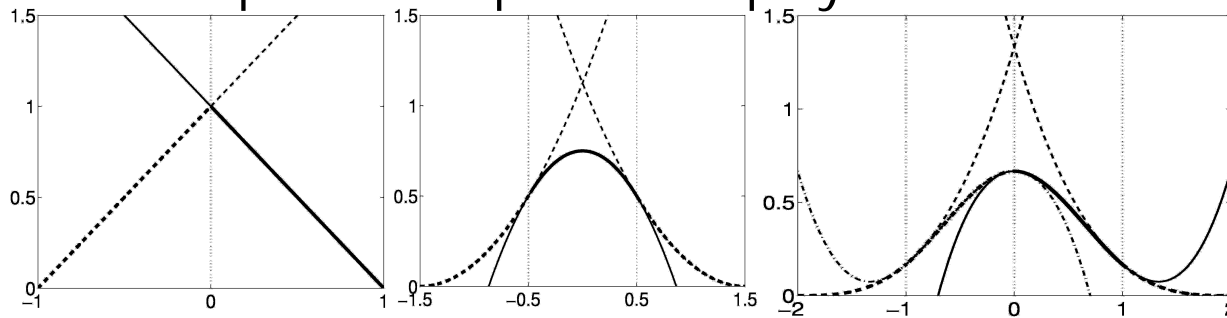
A continuous function is represented by a linear combination of basis functions



2D B-spline basis functions of degrees 0, 1, 2 and 3



B-splines are piecewise polynomials



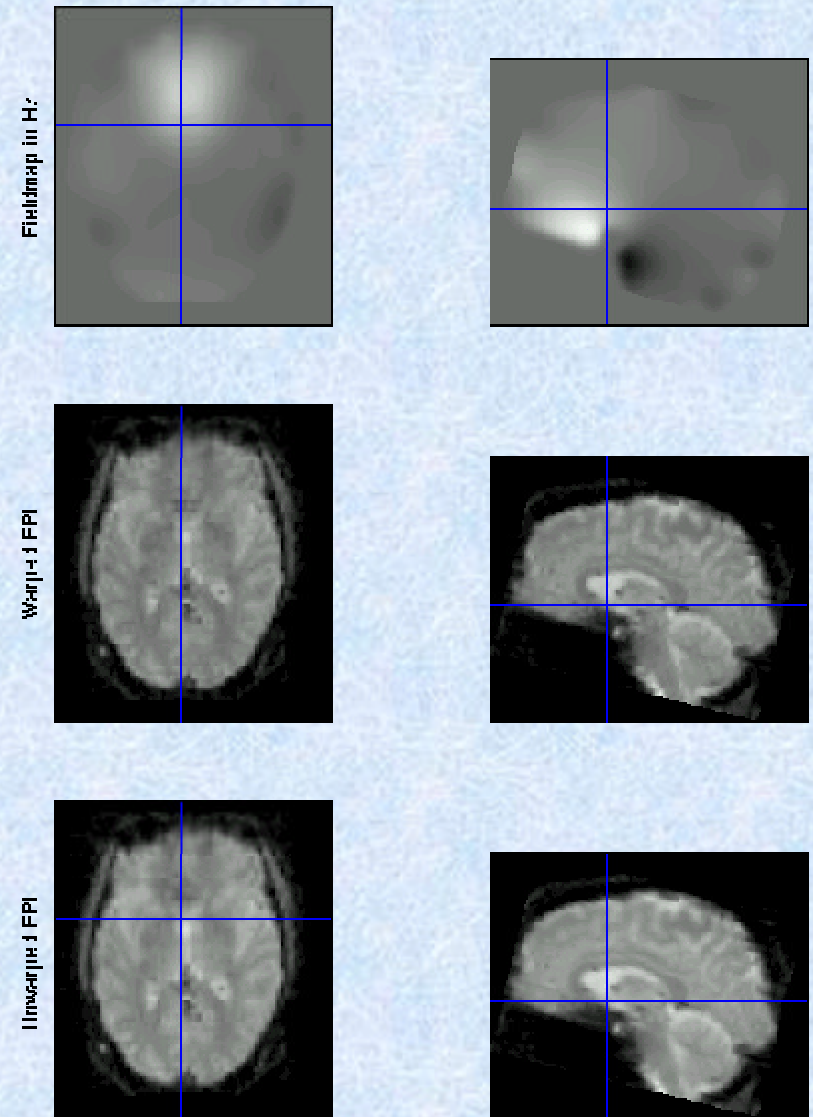
Nearest neighbour and trilinear interpolation are the same as B-spline interpolation with degrees 0 and 1.

Residual Errors from aligned fMRI

- Re-sampling can introduce interpolation errors
 - especially tri-linear interpolation
- Gaps between slices can cause aliasing artefacts
- Slices are not acquired simultaneously
 - rapid movements not accounted for by rigid body model
- Image artefacts may not move according to a rigid body model
 - image distortion
 - image dropout
 - Nyquist ghost
- Functions of the estimated motion parameters can be modelled as confounds in subsequent analyses

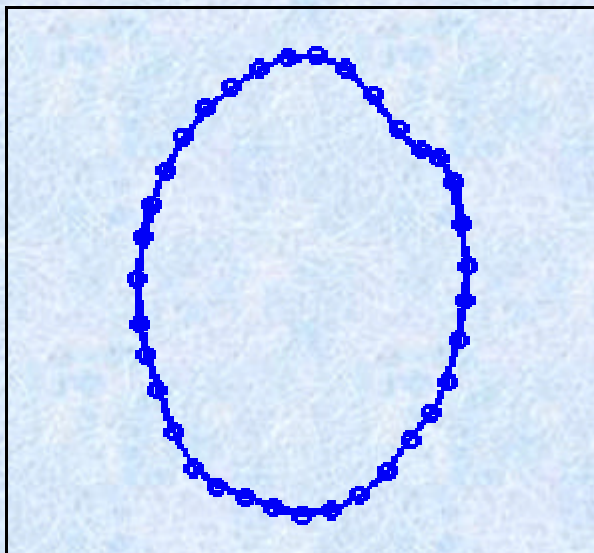
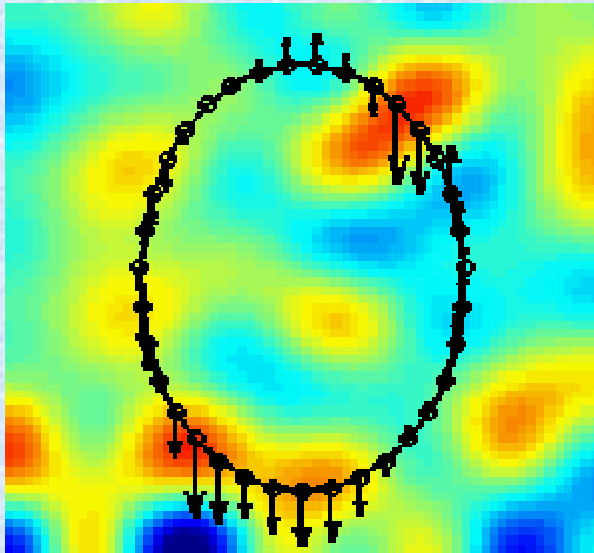
Movement by Distortion Interaction of fMRI

- Subject disrupts B_0 field, rendering it inhomogeneous
=> distortions in phase-encode direction
- Subject moves during EPI time series
- Distortions vary with subject orientation
=> shape varies

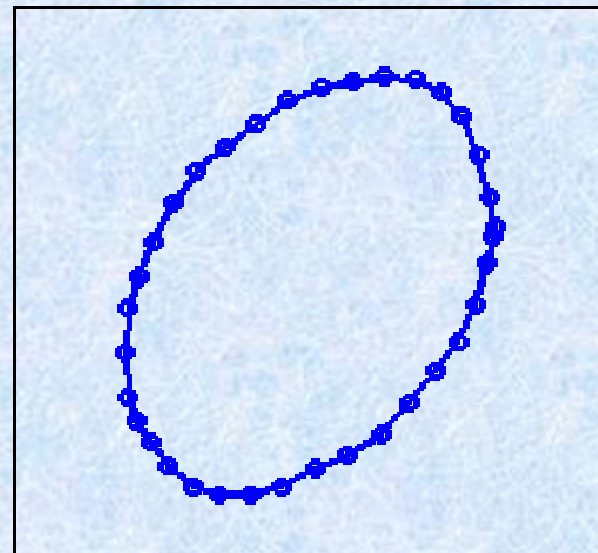
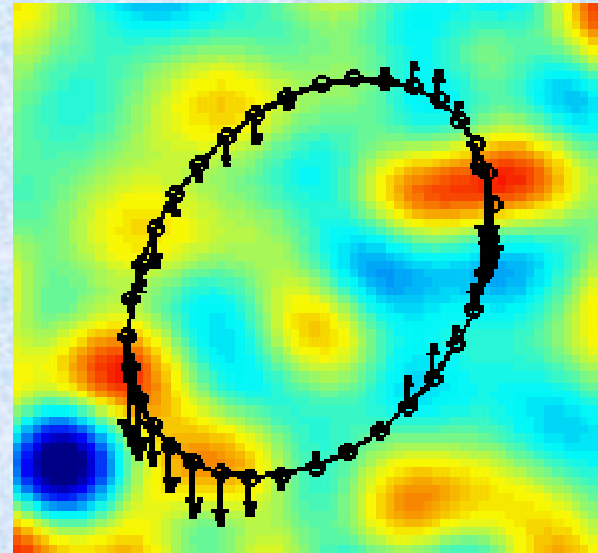


Movement by distortion interaction

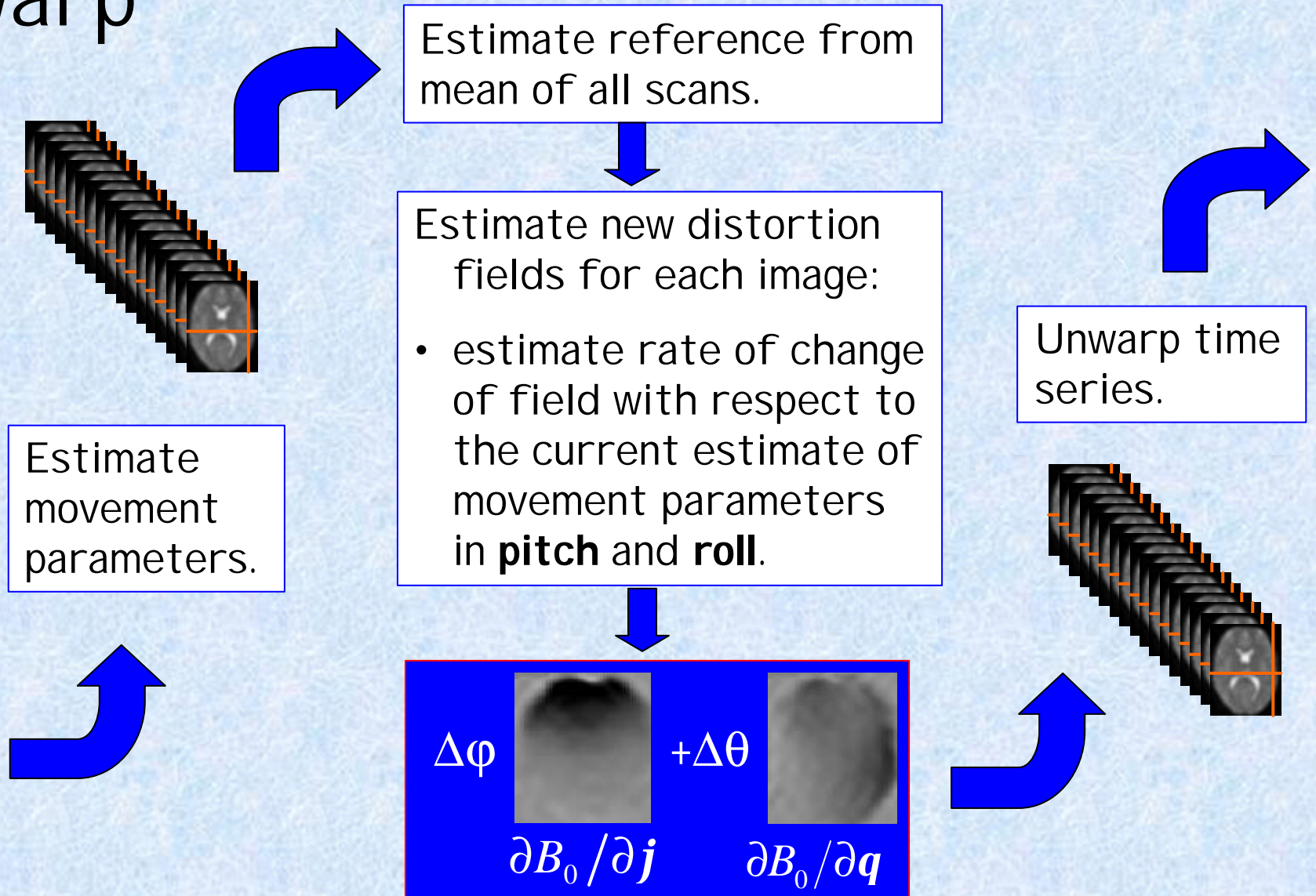
Original position



After rotation



Correcting for distortion changes using Unwarp



Contents

⌘ Smoothing

⌘ Rigid registration

⌘ Spatial normalisation

☑ Affine registration

☑ Nonlinear registration

☑ Regularisation

Spatial Normalisation - Reasons

⌘ Inter-subject averaging

- ☑ Increase sensitivity with more subjects

 - ☒ Fixed-effects analysis

- ☑ Extrapolate findings to the population as a whole

 - ☒ Mixed-effects analysis

⌘ Standard coordinate system

- ☑ e.g., Talairach & Tournoux space

Spatial Normalisation - Objective

⌘ Warp the images such that functionally homologous regions from different subjects are as close together as possible

⌘ Problems:

- ⌘ No exact match between structure and function

- ⌘ Different brains are organised differently

- ⌘ Computational problems (local minima, not enough information in the images, computationally expensive)

⌘ Compromise by correcting gross differences followed by smoothing of normalised images

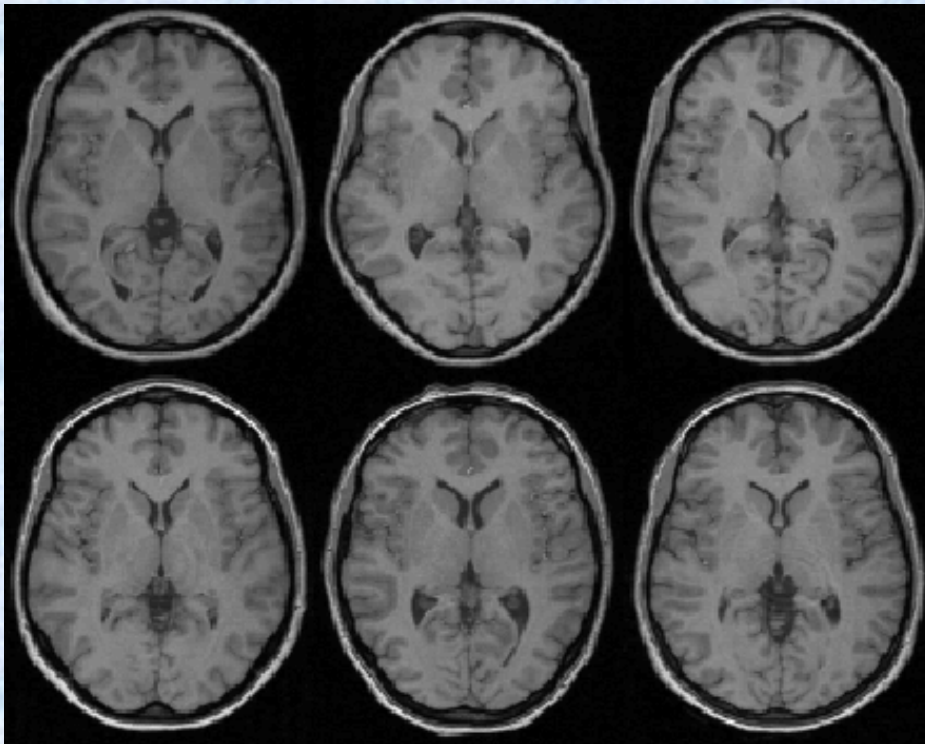


Very hard
to define a
one-to-one
mapping
of cortical
folding

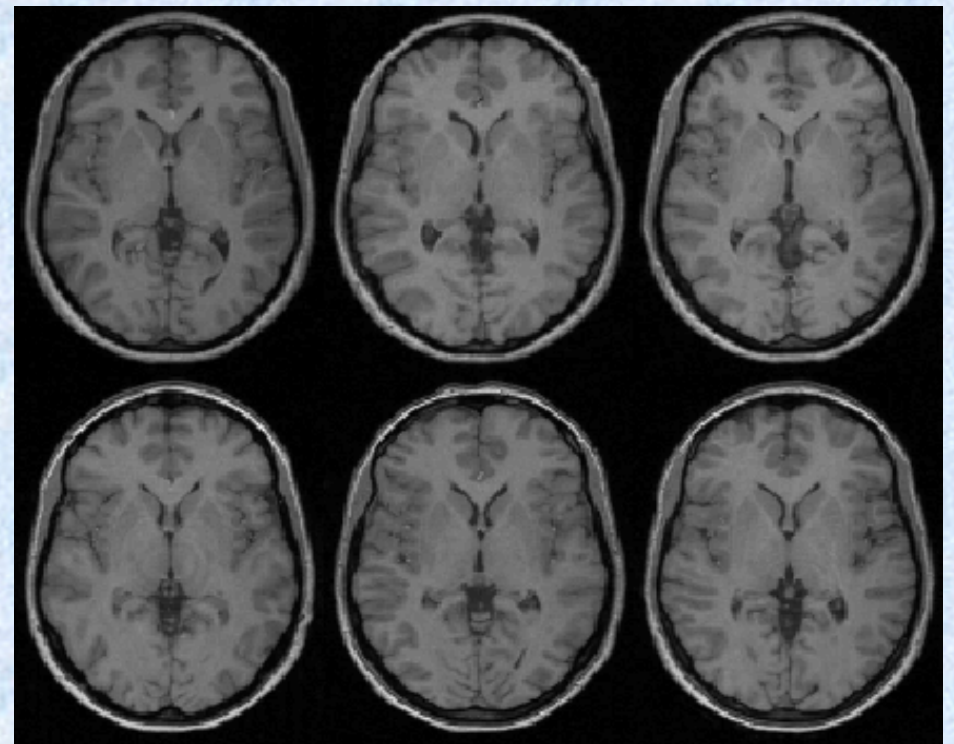
Use only
approximate
registration.

Spatial Normalisation - Procedure

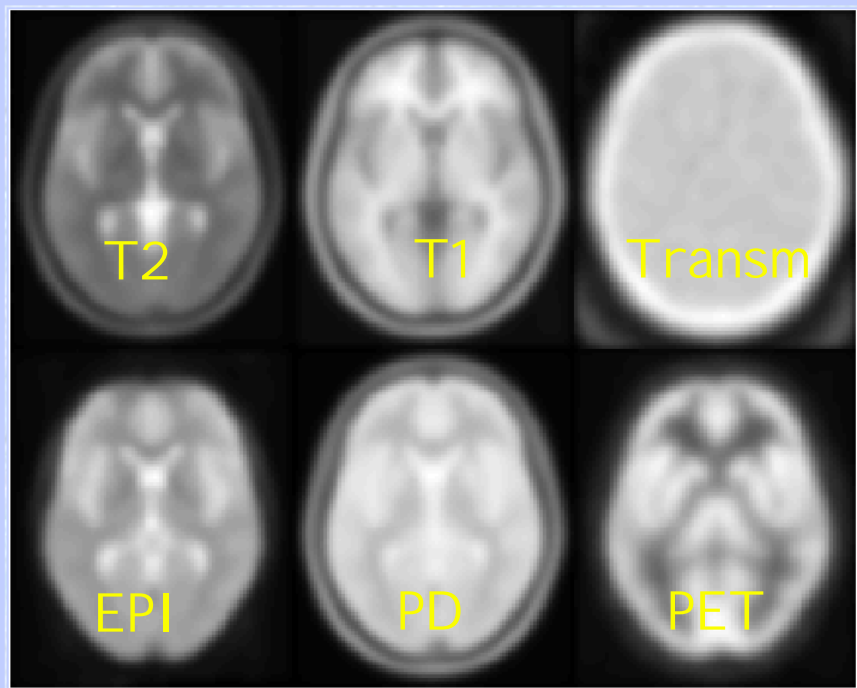
- ⌘ Minimise mean squared difference from template image(s)



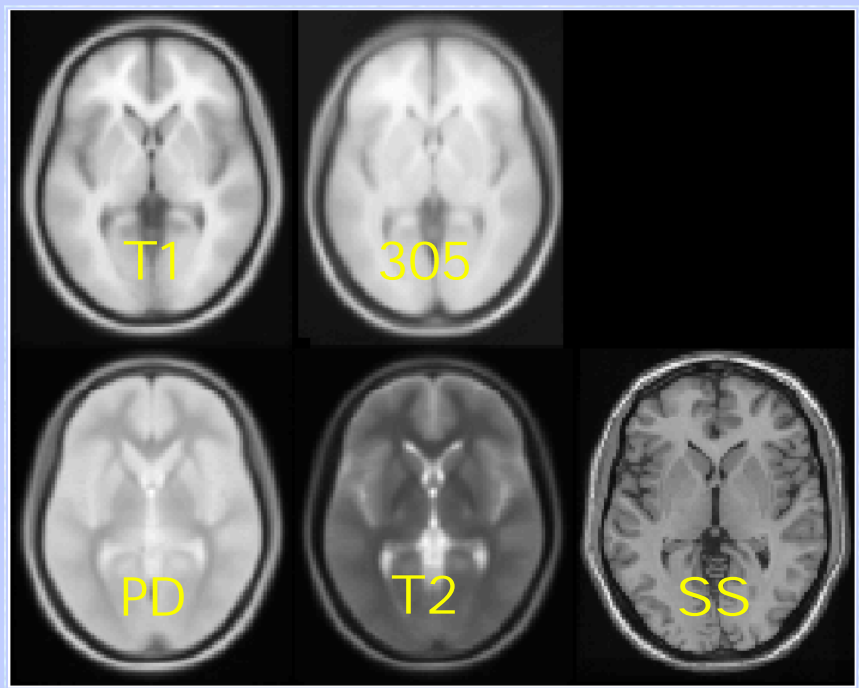
Affine registration



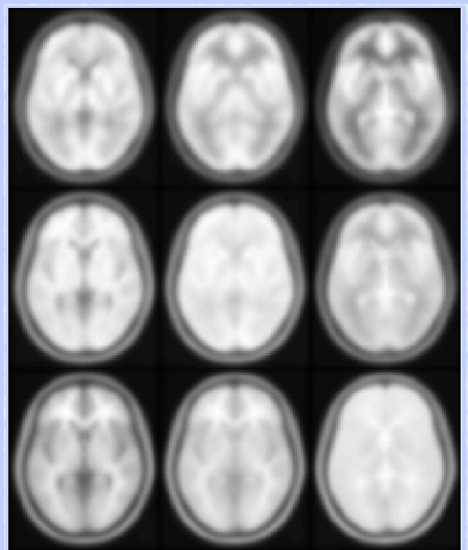
Non-linear registration



Template Images



"Canonical" images



PET

A wider range of contrasts can be registered to a linear combination of template images.

PD



Spatial normalisation can be weighted so that non-brain voxels do not influence the result.

Similar weighting masks can be used for normalising lesioned brains.

Spatial Normalisation - Templates

T1

Spatial Normalisation - Affine

⌘ The first part is a 12 parameter affine transform

☒ 3 translations

☒ 3 rotations

☒ 3 zooms

☒ 3 shears

⌘ Fits overall shape and size

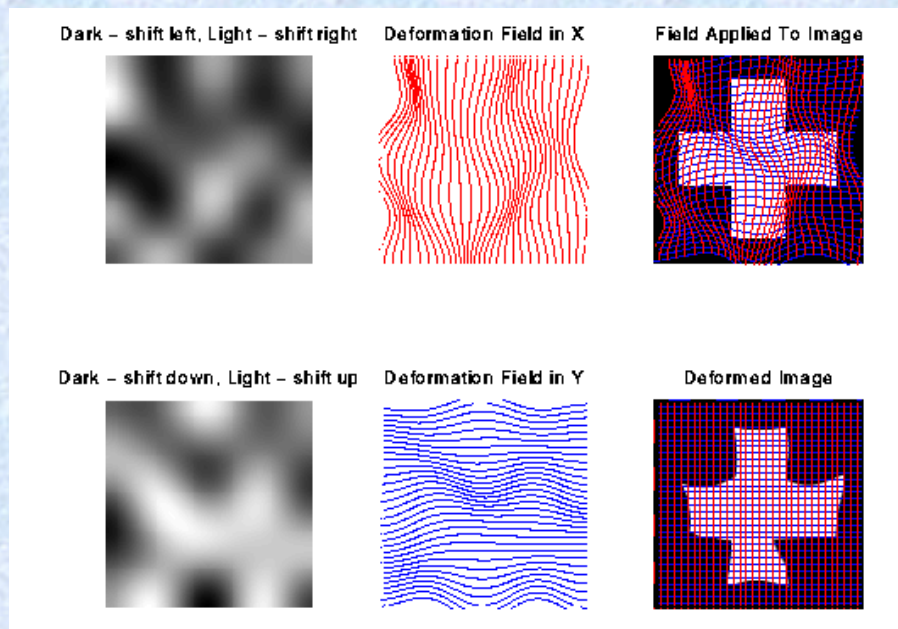
⌘ Algorithm simultaneously minimises

☒ Mean-squared difference between template and source image

☒ Squared distance between parameters and their expected values (regularisation)

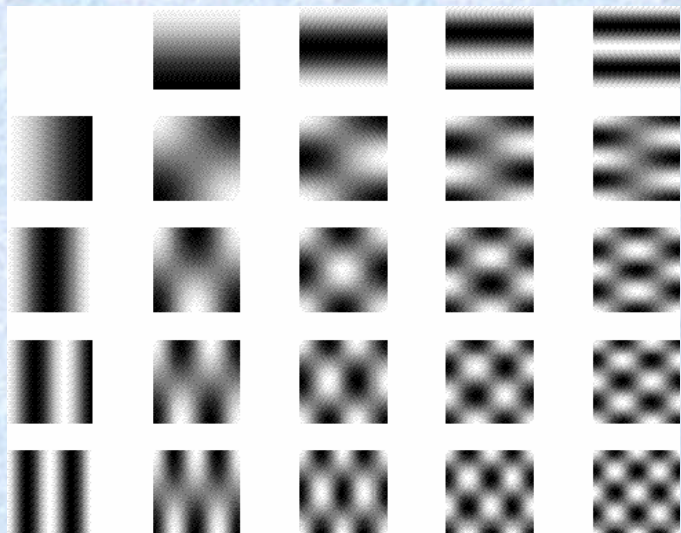


Spatial Normalisation - Non-linear



Deformations consist of a linear combination of smooth basis functions

These are the lowest frequencies of a 3D discrete cosine transform (DCT)



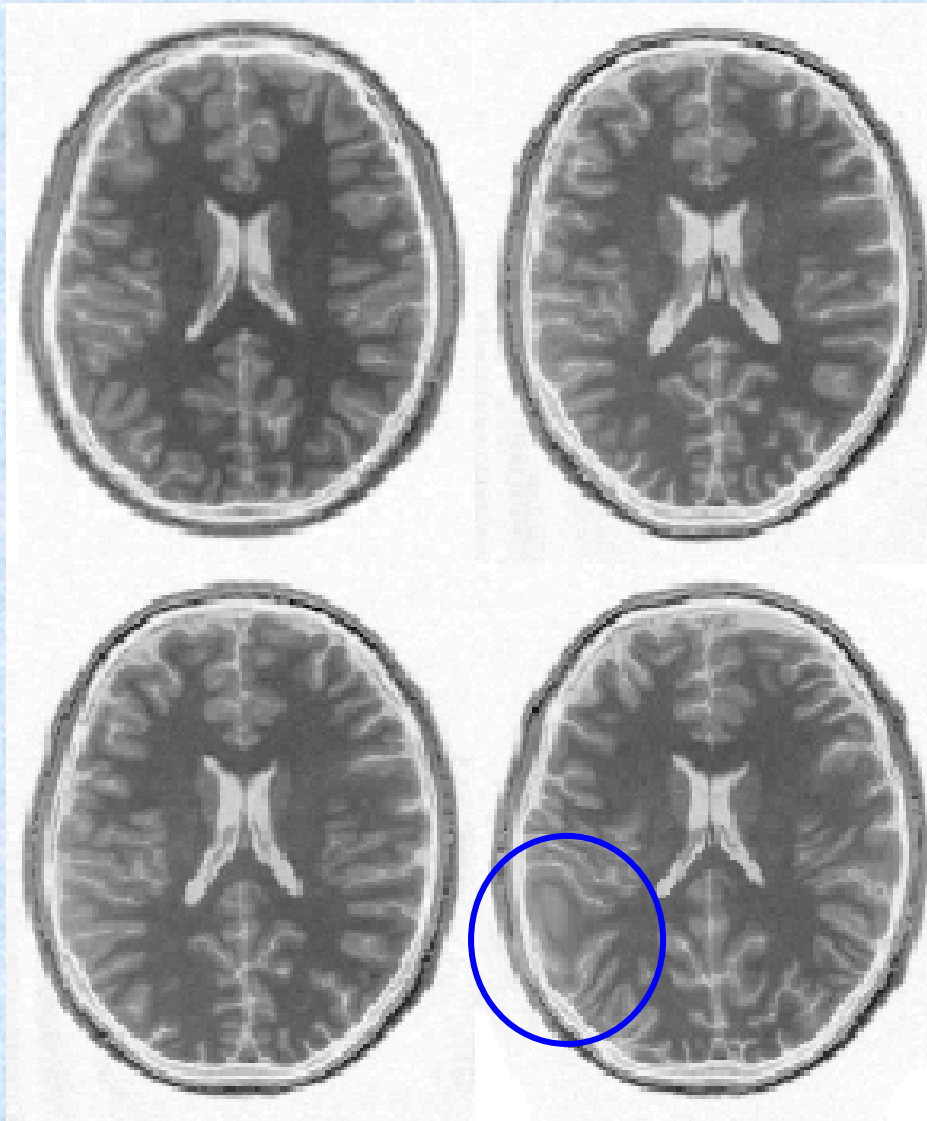
Algorithm simultaneously minimises

- ☒ Mean squared difference between template and source image
- ☒ Squared distance between parameters and their known expectation

Spatial Normalisation - Overfitting

Without regularisation, the non-linear spatial normalisation can introduce unnecessary warps.

Template image



Non-linear registration using regularisation.
($\chi^2 = 302.7$)

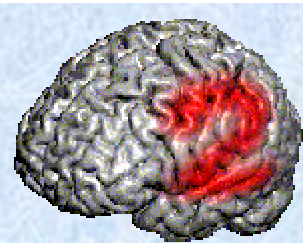


Affine registration.
($\chi^2 = 472.1$)



Non-linear registration without regularisation.
($\chi^2 = 287.3$)





References

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Andersson et al (2001): *Modeling geometric deformations in EPI time series*. Neuroimage 13:903-919

Thévenaz et al (2000): *Interpolation revisited*. IEEE Trans. Med. Imaging 19:739-758.

Ashburner et al (1997): *Incorporating prior knowledge into image registration*. Neuroimage 6:344-352

Ashburner et al (1999): *Nonlinear spatial normalisation using basis functions*. Human Brain Mapping 7:254-266