

# Dynamic Causal Modelling (DCM)

Presented by Uta Noppeney

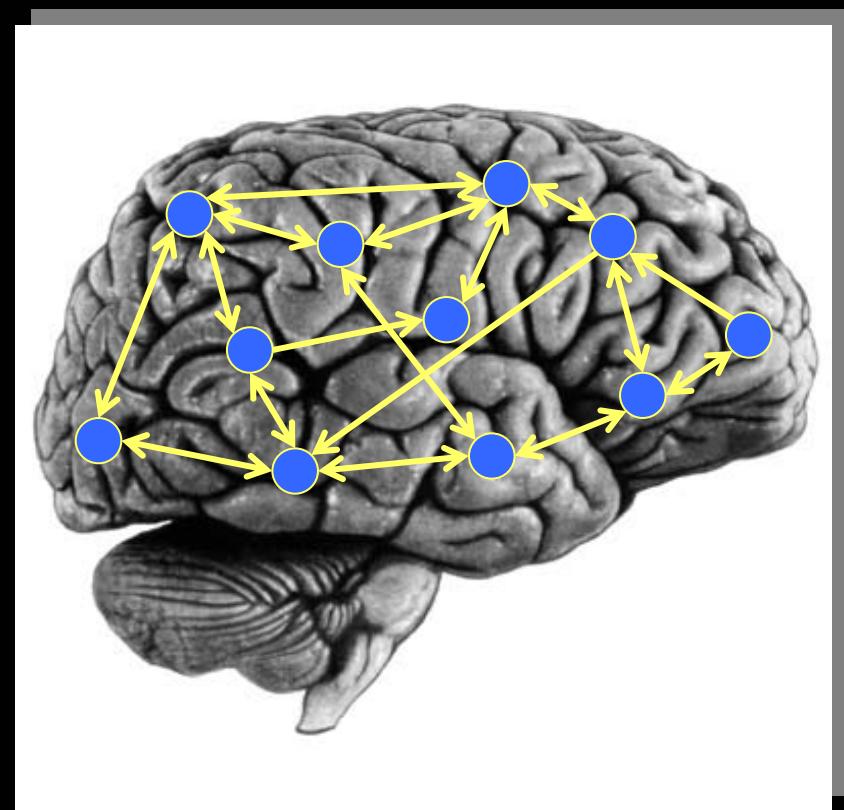
With Thanks to and Slides from

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# System analyses in functional neuroimaging

## Functional specialisation

Analyses of regionally specific effects: which areas constitute a neuronal system?

## Functional integration

Analyses of inter-regional effects: what are the interactions between the elements of a given neuronal system?

## Functional connectivity

= the temporal correlation between spatially remote neurophysiological events

**MODEL-free**

## Effective connectivity

= the influence that the elements of a neuronal system exert over another

**MODEL-dependent**

# Approaches to functional integration

- **Functional Connectivity**

- Eigenimage analysis and PCA

- Nonlinear PCA

- ICA

- **Effective Connectivity**

- Psychophysiological Interactions

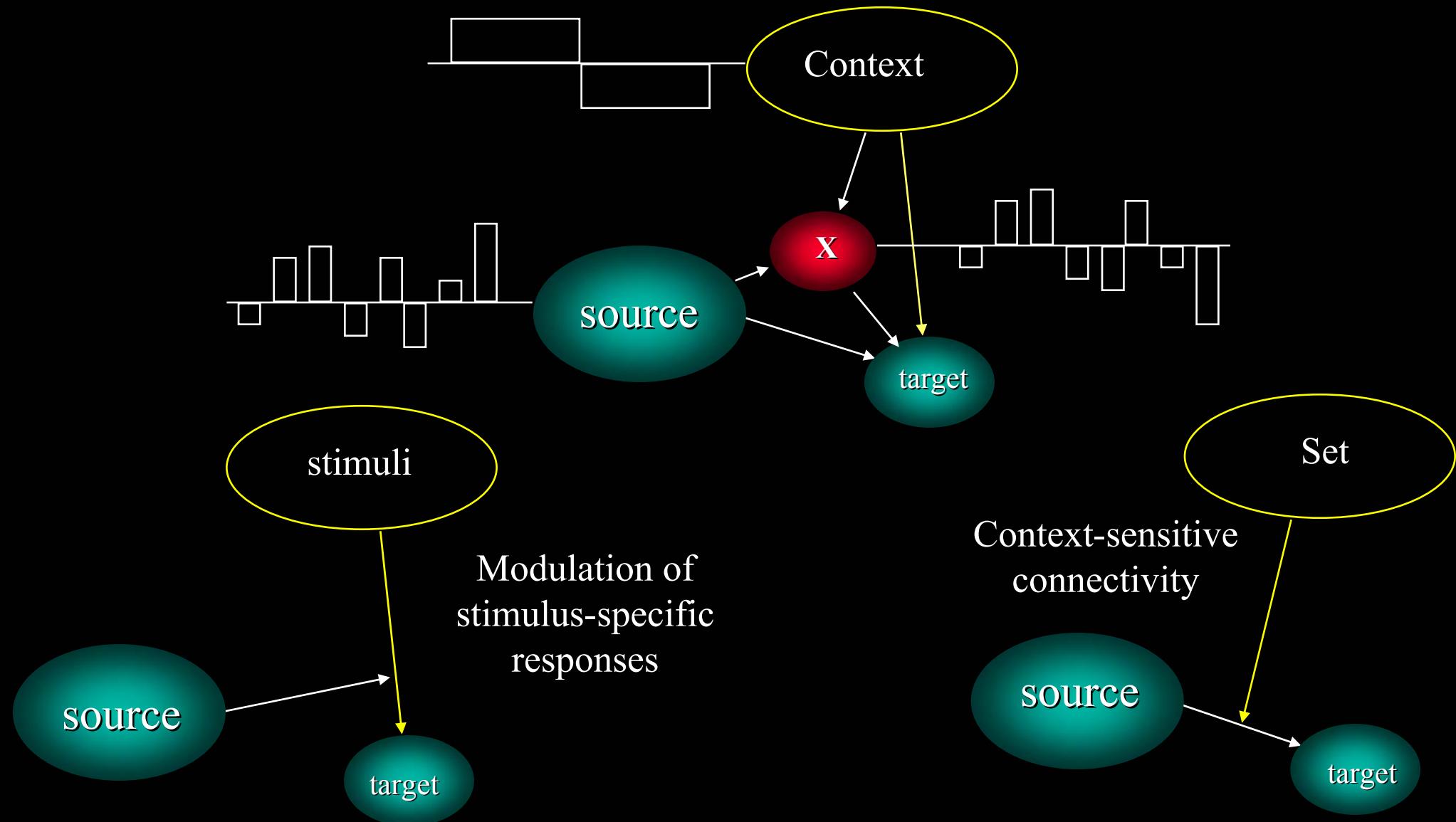
- MAR and State space Models

- Structure Equation Models

- Volterra Models

- Dynamic Causal Models

# Psychophysiological interactions



# Approaches to functional integration

- **Functional Connectivity**

- Eigenimage analysis and PCA

- Nonlinear PCA

- ICA

- **Effective Connectivity**

- Psychophysiological Interactions

- MAR and State space Models

- Structure Equation Models

- Volterra Models

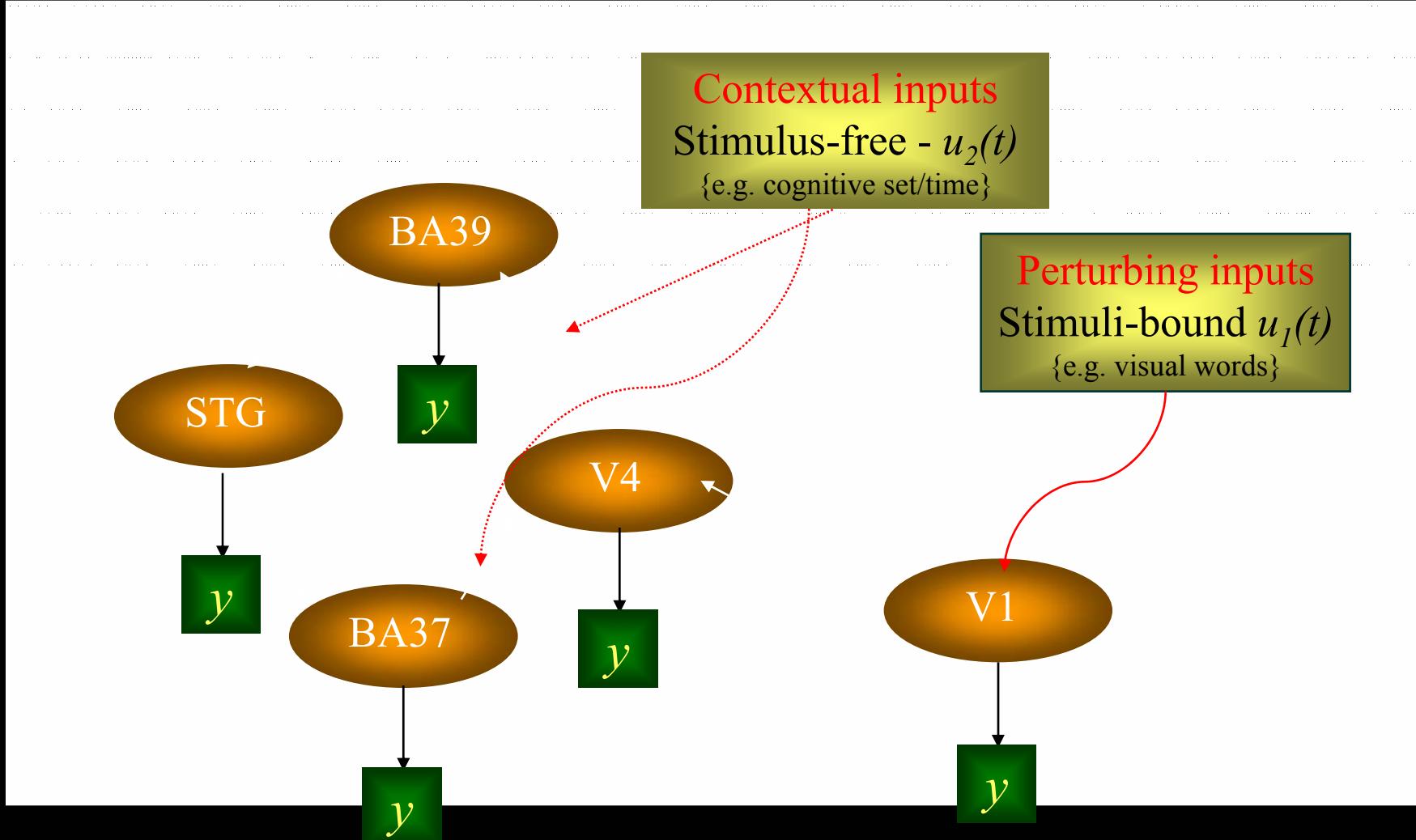
- Dynamic Causal Models

# Overview

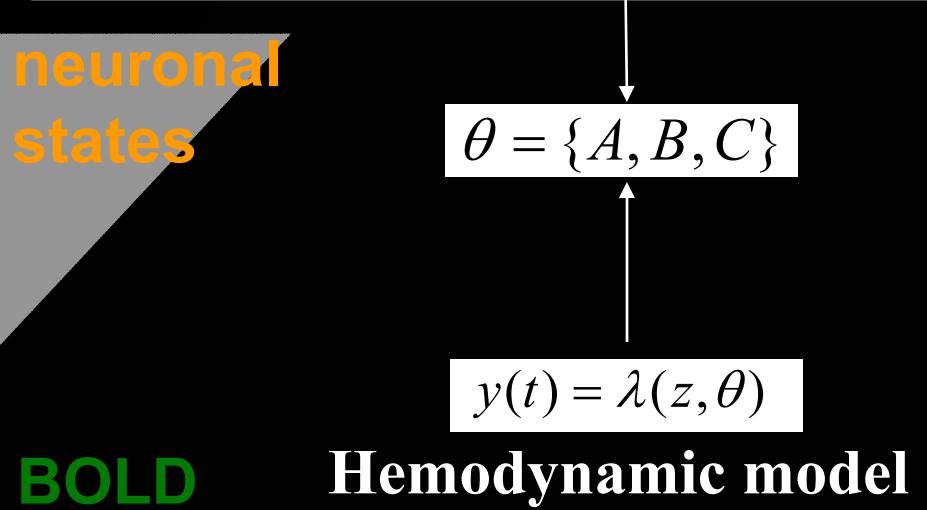
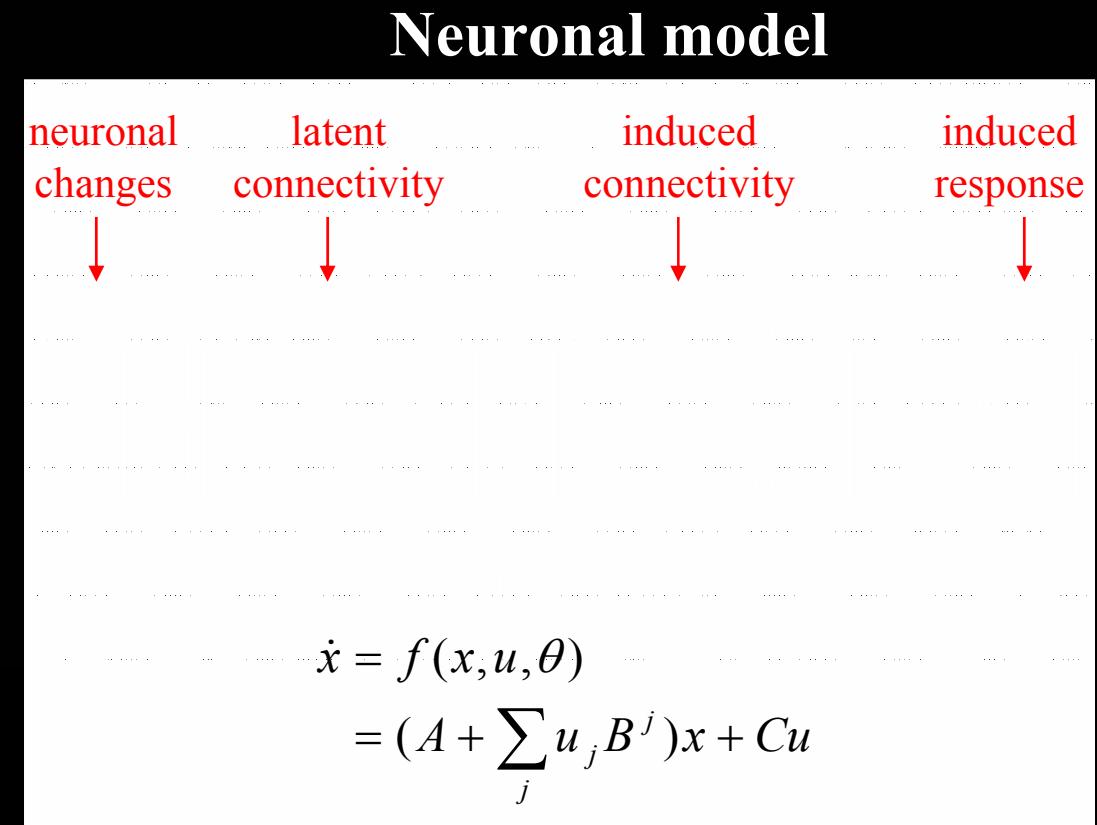
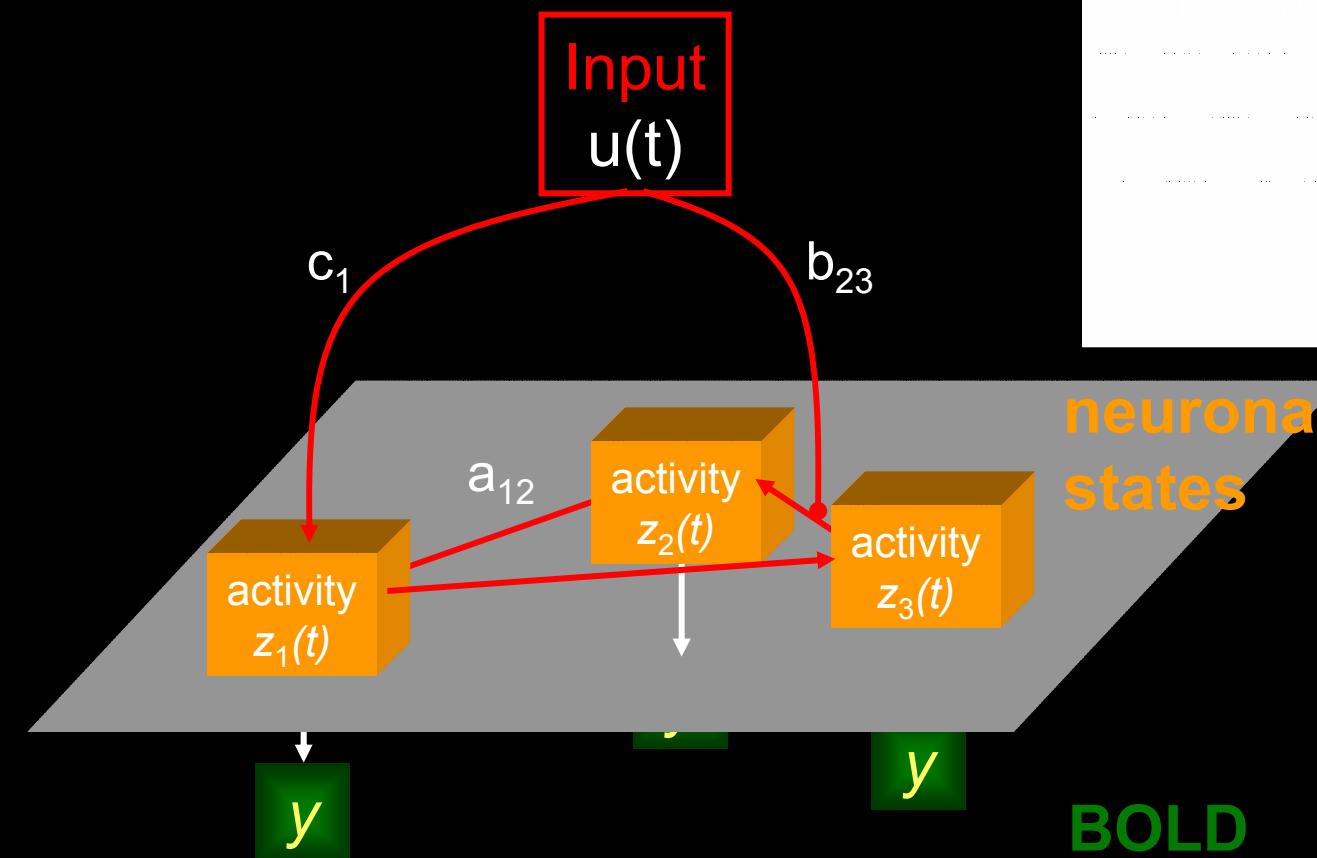
- DCM - Conceptual overview
- Neural and hemodynamic levels in DCM
- Parameter estimation
  - Priors in DCM
  - Bayesian parameter estimation in non-linear systems
- Interpretation of parameters
- Bayesian model selection
- Practical steps of a DCM study
- Example: attention to visual motion

# The aim

Functional integration and the modulation of specific pathways



# Conceptual overview



# Conceptual overview

Models of  
•Responses in a single region  
•Neuronal interactions

Constraints on  
•Connections  
•Biophysical parameters

$$p(y | \theta)$$

$$p(\theta)$$

$$p(\theta | y) \propto p(y | \theta) p(\theta)$$

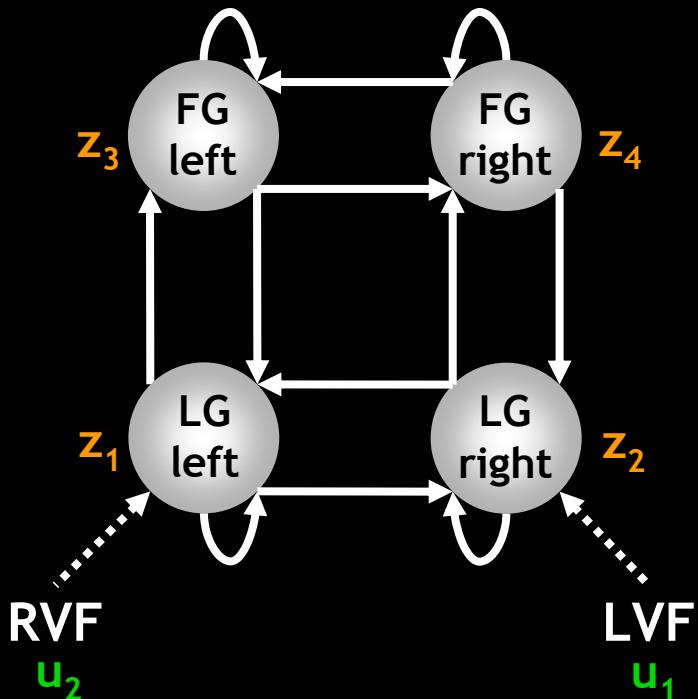
Bayesian estimation

posterior       $\propto$  likelihood     $\cdot$  prior

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# Example: linear dynamic system



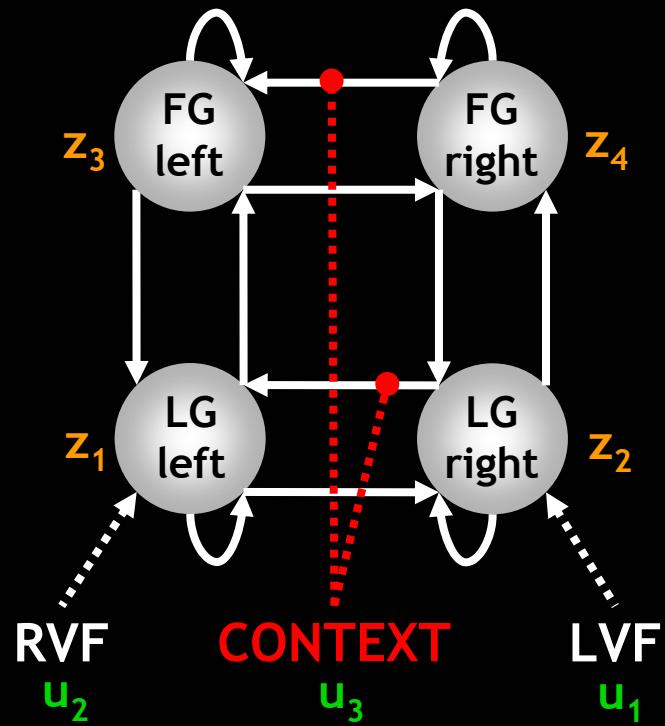
LG = lingual gyrus  
FG = fusiform gyrus

Visual input in the  
- left (LVF)  
- right (RVF)  
visual field.

state changes	effective connectivity	system state	input parameters	external inputs
$\dot{z} = Az + Cu$	$\begin{bmatrix} \dot{z}_1 \\ \dot{z}_2 \\ \dot{z}_3 \\ \dot{z}_4 \end{bmatrix} = \begin{bmatrix} a_{11} & a_{12} & a_{13} & 0 \\ a_{21} & a_{22} & 0 & a_{24} \\ a_{31} & 0 & a_{33} & a_{34} \\ 0 & a_{42} & a_{43} & a_{44} \end{bmatrix} \begin{bmatrix} z_1 \\ z_2 \\ z_3 \\ z_4 \end{bmatrix} + \begin{bmatrix} 0 & c_{12} \\ c_{21} & 0 \\ 0 & 0 \\ 0 & 0 \end{bmatrix} \begin{bmatrix} u_1 \\ u_2 \end{bmatrix}$			

$$\theta = \{A, C\}$$

# Extension: bilinear dynamic system



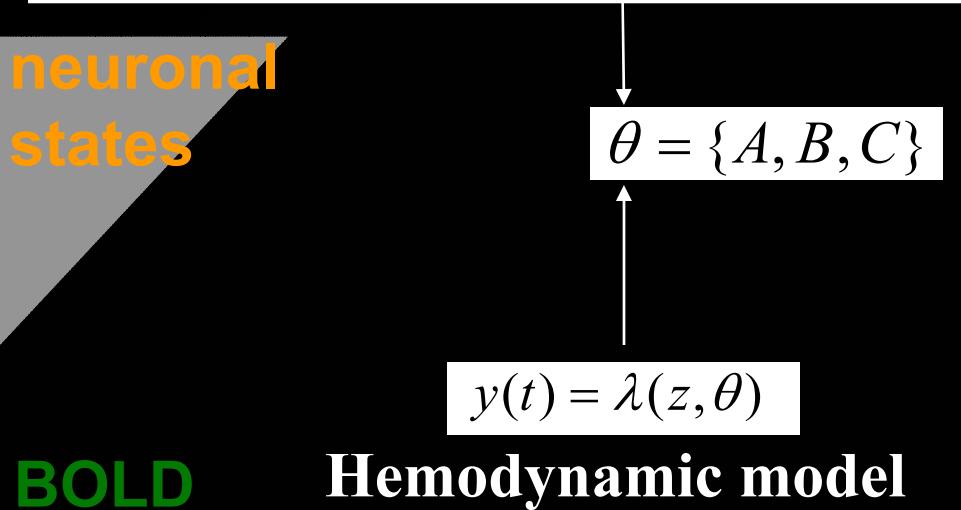
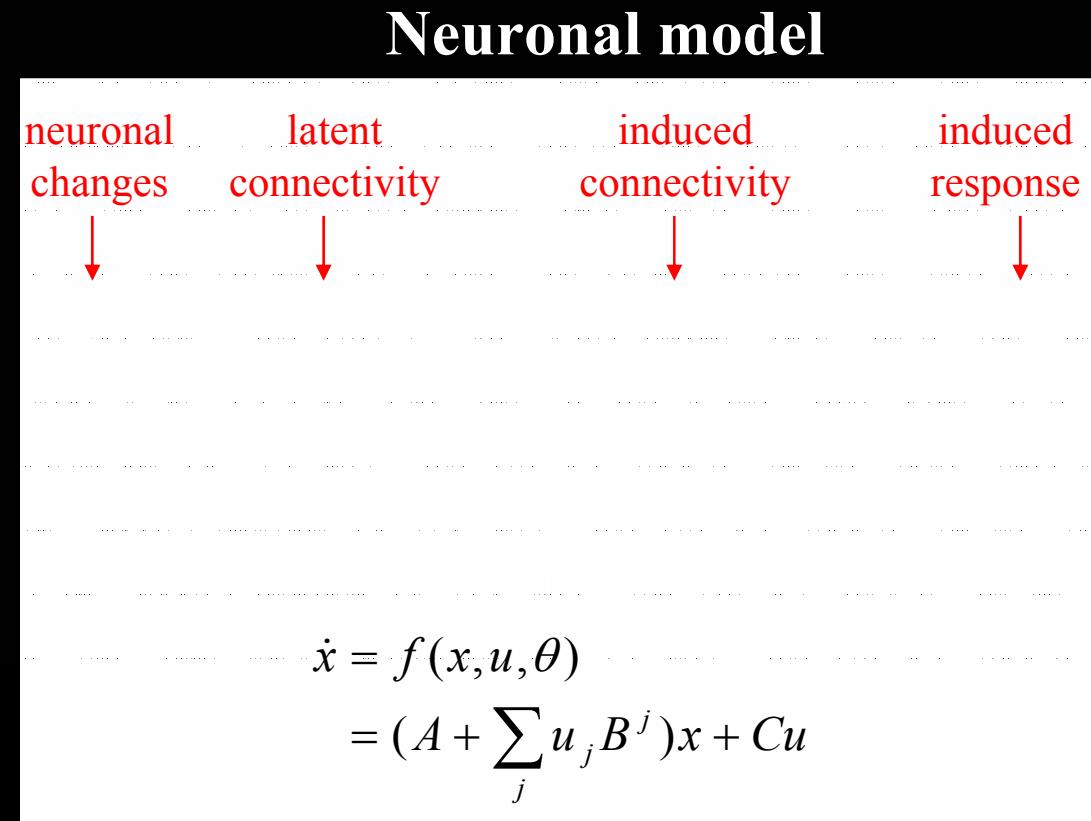
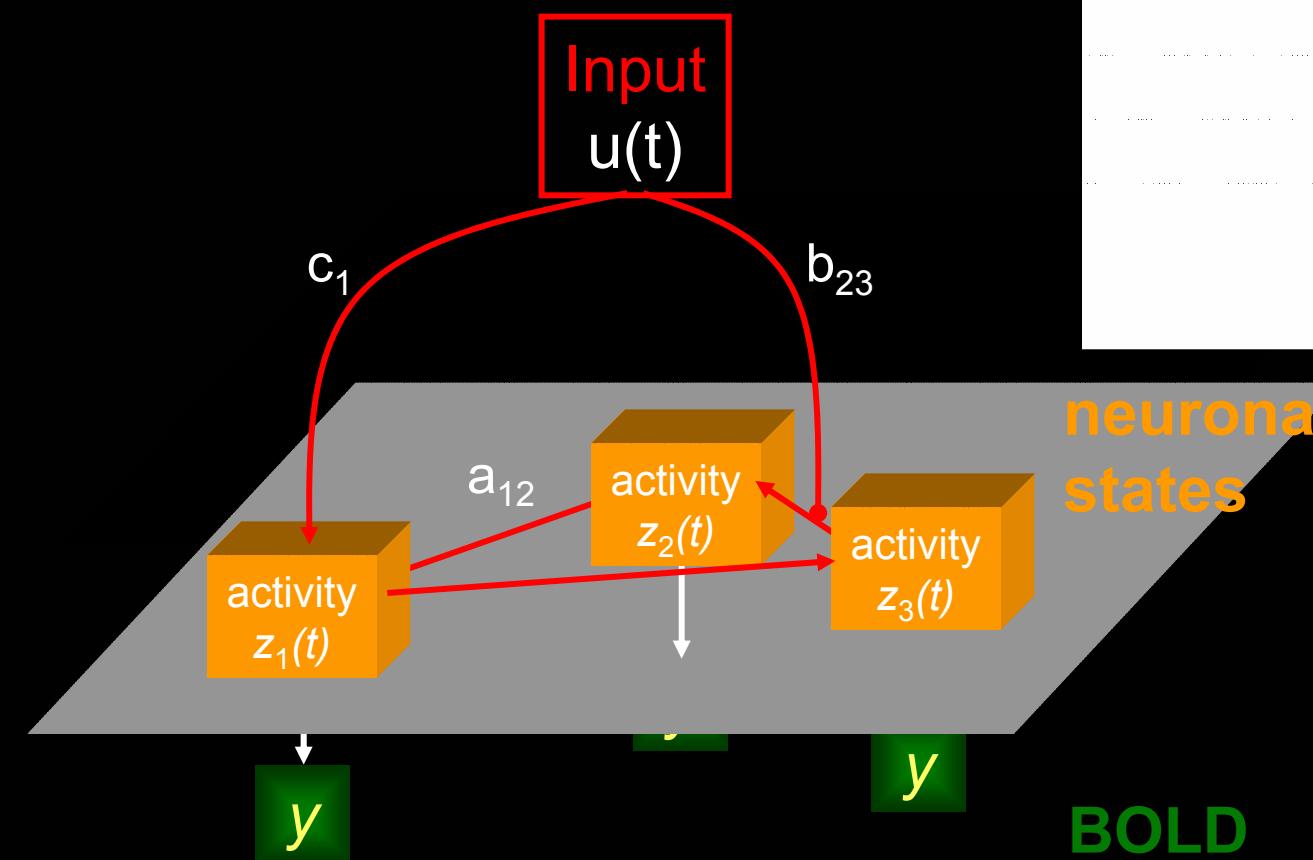
$$\begin{bmatrix} \dot{z}_1 \\ \dot{z}_2 \\ \dot{z}_3 \\ \dot{z}_4 \end{bmatrix} = \left\{ \begin{bmatrix} a_{11} & a_{12} & a_{13} & 0 \\ a_{21} & a_{22} & 0 & a_{24} \\ a_{31} & 0 & a_{33} & a_{34} \\ 0 & a_{42} & a_{43} & a_{44} \end{bmatrix} + u_3 \begin{bmatrix} 0 & b_{12}^3 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & b_{34}^3 \\ 0 & 0 & 0 & 0 \end{bmatrix} \right\} \begin{bmatrix} z_1 \\ z_2 \\ z_3 \\ z_4 \end{bmatrix} + \begin{bmatrix} 0 & c_{12} & 0 \\ c_{21} & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix} \begin{bmatrix} u_1 \\ u_2 \\ u_3 \end{bmatrix}$$

# Bilinear state equation in DCM

state changes	intrinsic connectivity	modulation of connectivity	system state	direct inputs	$m$ external inputs
↓	↓	↓	↓	↓	↓
$\begin{bmatrix} \dot{z}_1 \\ \vdots \\ \dot{z}_n \end{bmatrix}$	$= \left\{ \begin{bmatrix} a_{11} & \cdots & a_{1n} \\ \vdots & \ddots & \vdots \\ a_{n1} & \cdots & a_{nn} \end{bmatrix} + \sum_{j=1}^m u_j \begin{bmatrix} b_{11}^j & \cdots & b_{1n}^j \\ \vdots & \ddots & \vdots \\ b_{n1}^j & \cdots & b_{nn}^j \end{bmatrix} \right\} \begin{bmatrix} z_1 \\ \vdots \\ z_n \end{bmatrix} + \begin{bmatrix} c_{11} & \cdots & c_{1m} \\ \vdots & \ddots & \vdots \\ c_{n1} & \cdots & c_{nm} \end{bmatrix} \begin{bmatrix} u_1 \\ \vdots \\ u_m \end{bmatrix}$				

$$\dot{z} = (A + \sum_{j=1}^m u_j B^j)z + Cu \quad \longrightarrow \quad \theta^n = \{A, B^1 \dots B^m, C\}$$

# Conceptual overview



# The hemodynamic “Balloon” model

- 5 hemodynamic parameters:

$$\theta^h = \{\kappa, \gamma, \tau, \alpha, \rho\}$$



important for model fitting,  
but of no interest for  
statistical inference

- Empirically determined *prior* distributions.
- Computed separately for each area (like the neural parameters).

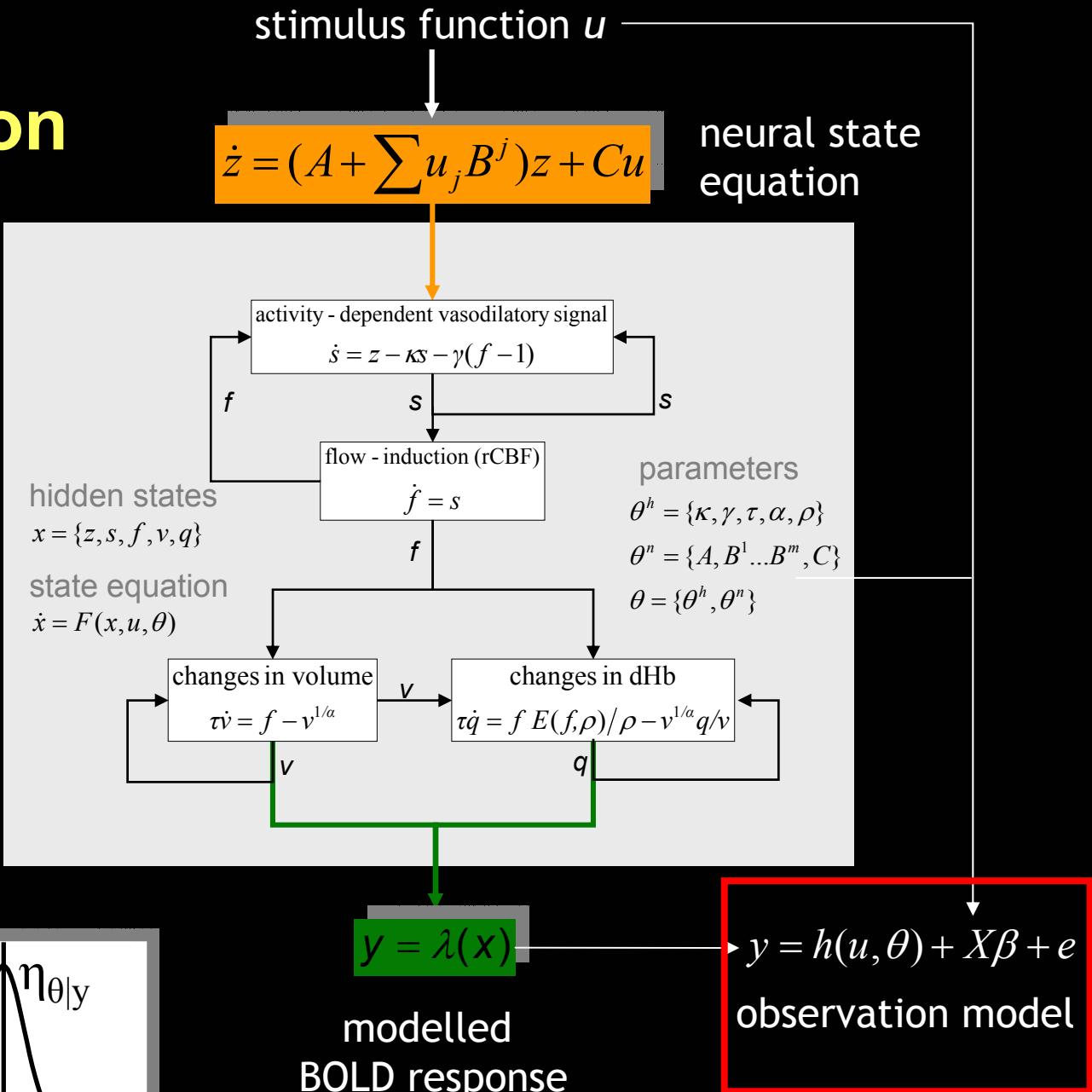
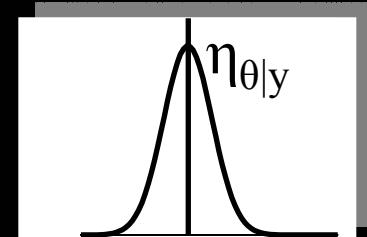


# Overview

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- Example 1: attention to visual motion

# Overview: parameter estimation

- Combining the neural and hemodynamic states gives the complete forward model.
- An observation model includes measurement error  $e$  and confounds  $X$  (e.g. drift).
- Bayesian parameter estimation by means of a Levenberg-Marquardt gradient ascent, embedded into an EM algorithm.
- Result: Gaussian a posteriori parameter distributions, characterised by mean  $\eta_{\theta|y}$  and covariance  $C_{\theta|y}$ .



# Overview: parameter estimation

Models of  
•Responses in a single region  
•Neuronal interactions

Constraints on  
•Connections  
•Biophysical parameters

$$p(y | \theta)$$

$$p(\theta)$$

$$p(\theta | y) \propto p(y | \theta)p(\theta)$$

posterior       $\propto$  likelihood + prior

Bayesian estimation

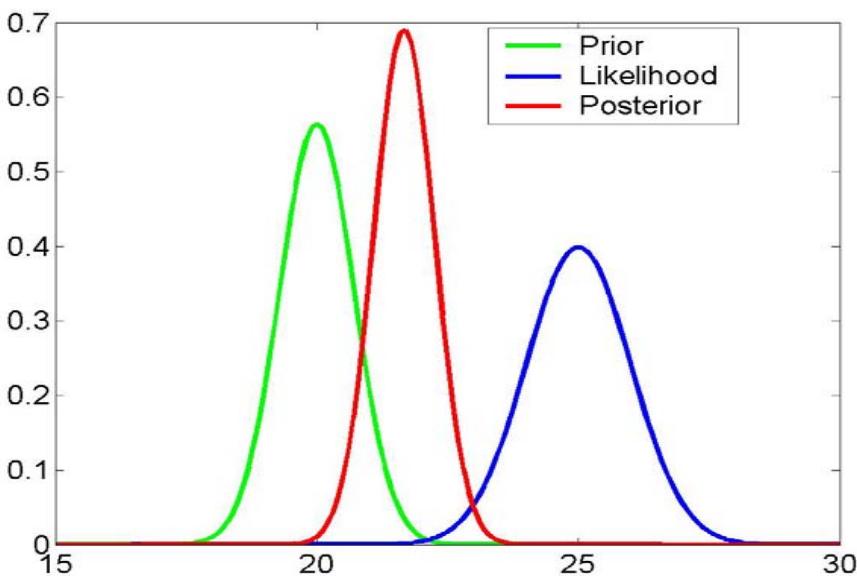
# Priors in DCM

## Bayes Theorem

$$p(\theta | y) \propto p(y | \theta) \cdot p(\theta)$$

posterior  $\propto$  likelihood  $\cdot$  prior

- needed for Bayesian estimation, embody constraints on parameter estimation
- express our prior knowledge or “belief” about parameters of the model
- hemodynamic parameters: empirical priors
- temporal scaling: principled prior
- coupling parameters: shrinkage priors



# Priors in DCM

- Principled priors:

- System stability: in the absence of input, the neuronal states must return to a stable mode
- Constraints on prior variance of intrinsic connections (A): Probability <0.001 of obtaining a non-negative Lyapunov exponent (largest real eigenvalue of the intrinsic coupling matrix)
- Self-inhibition: Priors on the decay rate constant  $\sigma$  ( $\eta\sigma=1$ ,  $C\sigma=0.105$ ); these allow for neural transients with a half life in the range of 300 ms to 2 seconds

$$A \rightarrow \sigma A = \sigma \begin{bmatrix} -1 & a_{12} & \cdots \\ a_{21} & -1 & \ddots \\ \vdots & & \ddots \end{bmatrix}$$

- Shrinkage priors

for coupling parameters ( $\eta=0$ )  
 → conservative estimates!

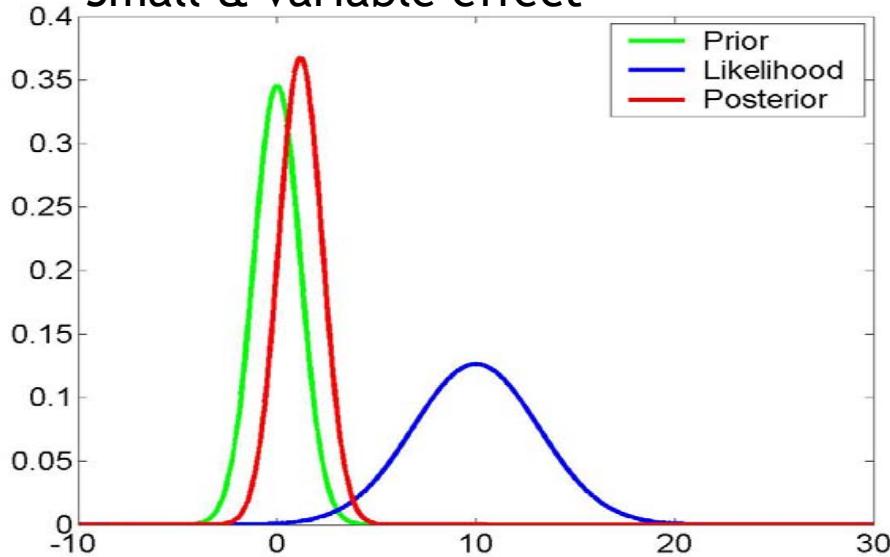
$$\theta = \begin{bmatrix} \sigma \\ a_{ij} \\ b_{ij}^k \\ c_{ik} \\ \theta^h \end{bmatrix}, \quad \eta_\theta = \begin{bmatrix} 1 \\ 0 \\ 0 \\ 0 \\ \eta_\theta^h \end{bmatrix}, \quad C_\theta = \begin{bmatrix} 0.105 & \cdots & 0 \\ \vdots & C_A & \vdots \\ 0 & \cdots & C_h \end{bmatrix}$$

- Temporal scaling:

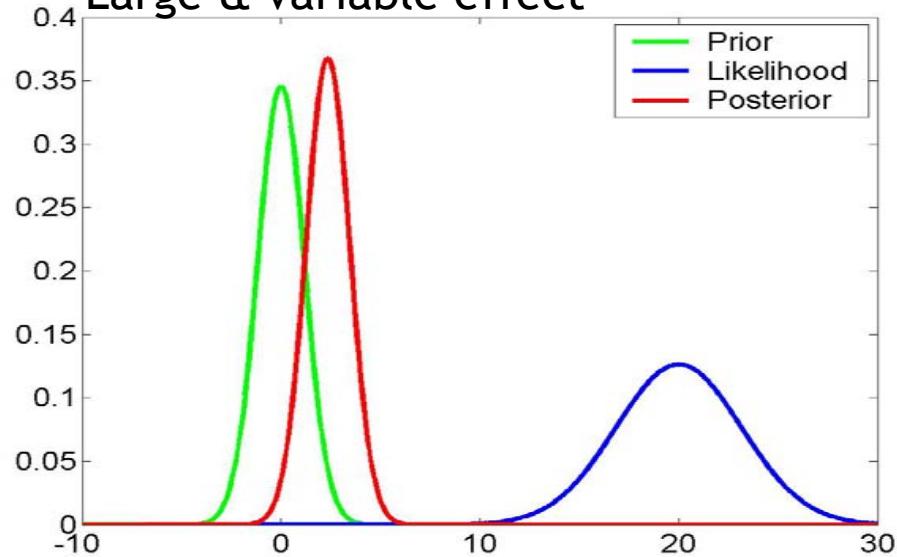
Identical in all areas by factorising A and B with  $\sigma$  (a single rate constant for all regions) : all connection strengths are relative to the self-connections.

# Shrinkage Priors

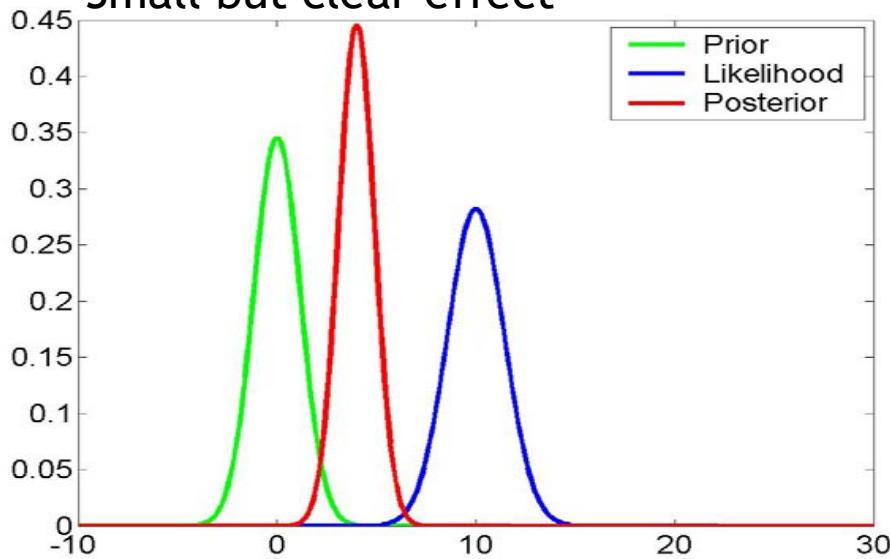
Small & variable effect



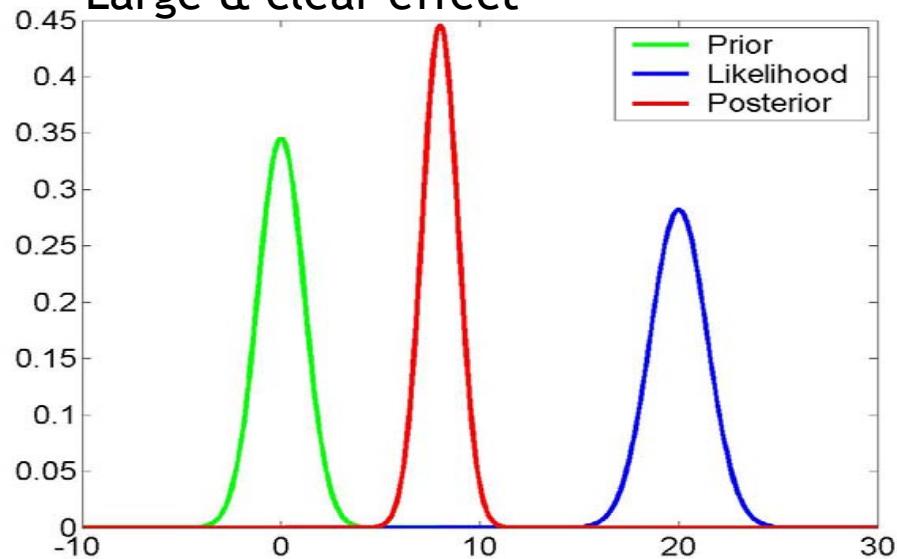
Large & variable effect



Small but clear effect



Large & clear effect



# Bayesian estimation: univariate Gaussian case

Normal densities

$$p(\theta) = N(\theta; \eta_p, \sigma_p^2)$$

Univariate  
linear  
model

$$y = \theta x + e$$

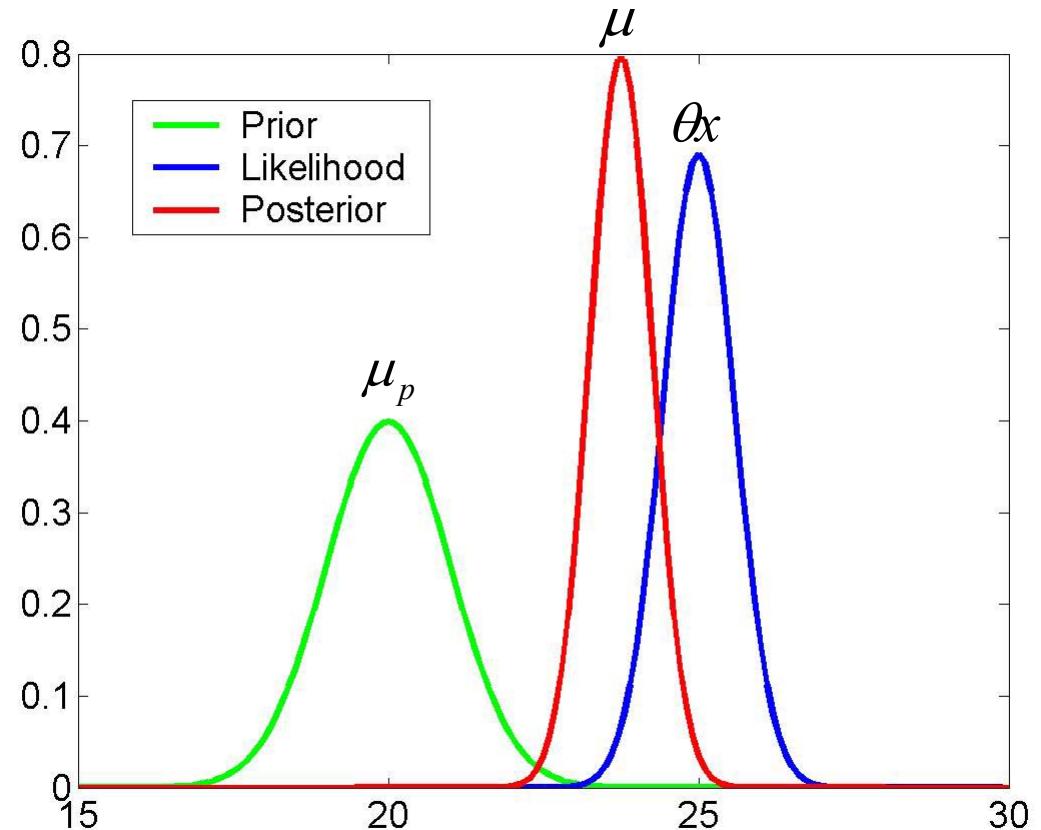
$$p(y | \theta) = N(y; \theta x, \sigma_e^2)$$

$$p(\theta | y) = N(\theta; \eta_{\theta|y}, \sigma_{\theta|y}^2)$$

$$\frac{1}{\sigma_{\theta|y}^2} = \frac{x^2}{\sigma_e^2} + \frac{1}{\sigma_p^2}$$

$$\eta_{\theta|y} = \sigma_{\theta|y}^2 \left( \frac{x}{\sigma_e^2} y + \frac{1}{\sigma_p^2} \eta_p \right)$$

Relative precision weighting



# Bayesian estimation: multivariate Gaussian case

Normal densities

$$p(\boldsymbol{\theta}) = N(\boldsymbol{\theta}; \boldsymbol{\eta}_p, \mathbf{C}_p)$$

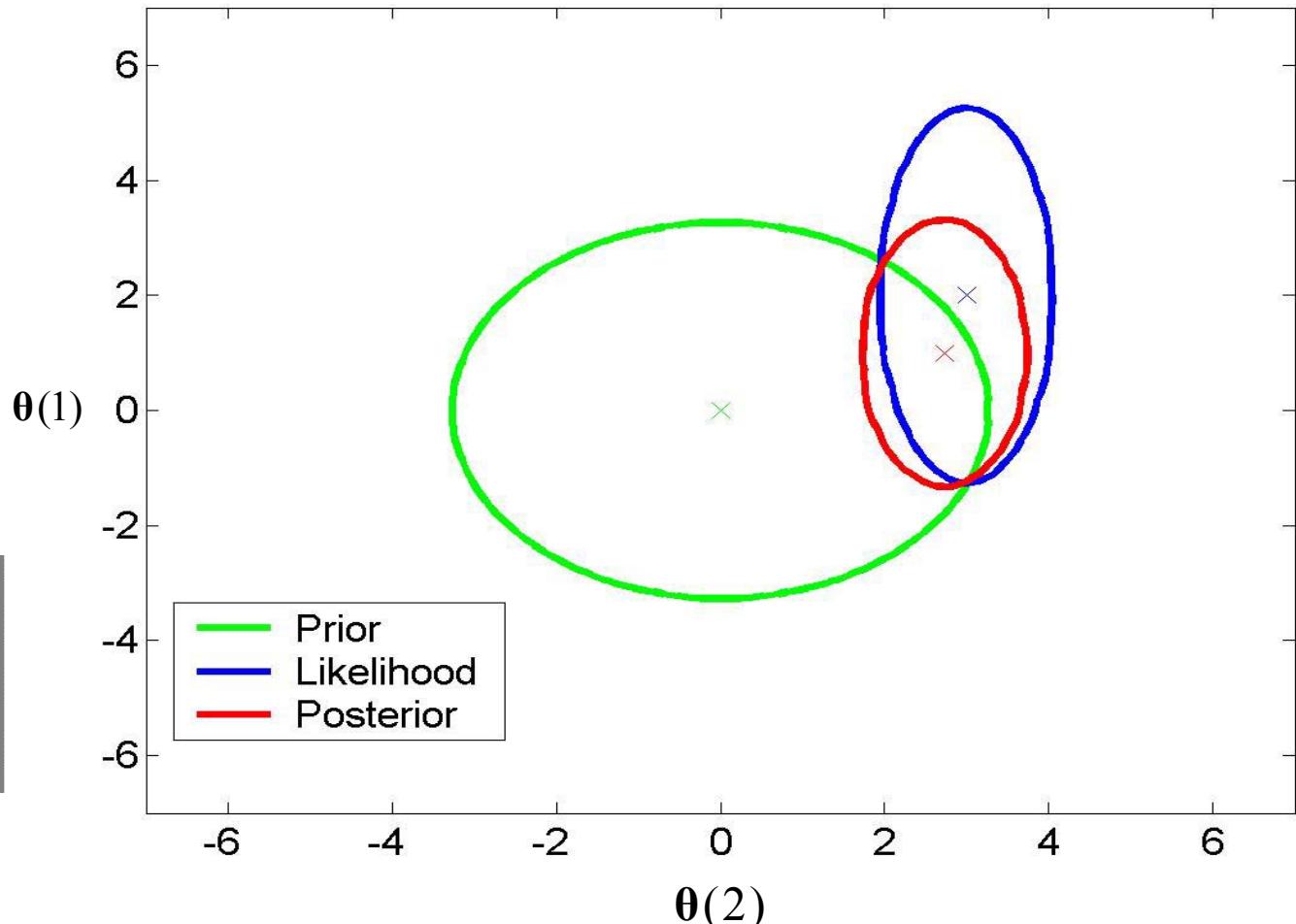
$$p(\mathbf{y} | \boldsymbol{\theta}) = N(\mathbf{y}; \mathbf{X}\boldsymbol{\theta}, \mathbf{C}_e)$$

$$p(\boldsymbol{\theta} | \mathbf{y}) = N(\boldsymbol{\theta}; \boldsymbol{\eta}_{\theta|y}, \mathbf{C}_{\theta|y})$$

$$\begin{aligned}\mathbf{C}_{\theta|y}^{-1} &= \mathbf{X}^T \mathbf{C}_e^{-1} \mathbf{X} + \mathbf{C}_p^{-1} \\ \boldsymbol{\eta}_{\theta|y} &= \mathbf{C}_{\theta|y} \left( \mathbf{X}^T \mathbf{C}_e \mathbf{y} + \mathbf{C}_p^{-1} \boldsymbol{\eta}_p \right)\end{aligned}$$

General  
Linear  
Model

$$\mathbf{y} = \mathbf{X}\boldsymbol{\theta} + \mathbf{e}$$



One step if  $\mathbf{C}_e$  is known.

# Bayesian estimation: nonlinear case

Local linearization by 1<sup>st</sup> order Taylor:

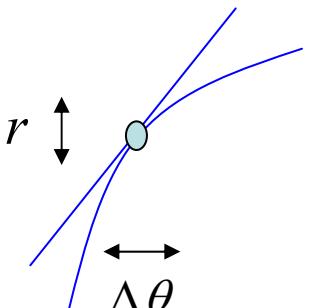
$$\mathbf{y} = h(\boldsymbol{\theta}) + \mathbf{e}$$

$$h(\boldsymbol{\theta}) = h(\boldsymbol{\eta}_{\theta|y}^i) + \frac{\partial h(\boldsymbol{\eta}_{\theta|y}^i)}{\partial \boldsymbol{\theta}} (\boldsymbol{\theta} - \boldsymbol{\eta}_{\theta|y}^i)$$

$$\mathbf{J} = \frac{\partial h(\boldsymbol{\eta}_{\theta|y}^i)}{\partial \boldsymbol{\theta}}$$

$$\Delta \boldsymbol{\theta} = \boldsymbol{\theta} - \boldsymbol{\eta}_{\theta|y}^i$$

$$\begin{aligned}\mathbf{r}^i &= \mathbf{y} - h(\boldsymbol{\eta}_{\theta|y}^i) \\ &= \mathbf{J} \Delta \boldsymbol{\theta} + \mathbf{e}\end{aligned}$$



Current estimates

$$\boldsymbol{\eta}_{\theta|y}^i, \mathbf{C}_{\theta|y}^i$$

Prior density

$$p(\boldsymbol{\theta}) = N(\boldsymbol{\theta}; \boldsymbol{\eta}_p, \mathbf{C}_p)$$

$$p(\Delta \boldsymbol{\theta}) = N(\Delta \boldsymbol{\theta}; \boldsymbol{\eta}_p - \boldsymbol{\eta}_{\theta|y}^i, \mathbf{C}_p)$$

Likelihood

$$p(\mathbf{y} | \boldsymbol{\theta}) = N(\mathbf{y}; h(\boldsymbol{\theta}), \mathbf{C}_e)$$

$$p(\mathbf{r} | \Delta \boldsymbol{\theta}) = N(\mathbf{r}; \mathbf{J} \Delta \boldsymbol{\theta}, \mathbf{C}_e)$$

Gradient ascent (Fisher scoring) with priors

$$(\mathbf{C}_{\theta|y}^{i+1})^{-1} = \mathbf{J}^T \mathbf{C}_e^{-1} \mathbf{J} + \mathbf{C}_p^{-1}$$

$$\boldsymbol{\eta}_{\theta|y}^{i+1} = \boldsymbol{\eta}_{\theta|y}^i + \mathbf{C}_{\theta|y}^{i+1} \left( \mathbf{J}^T \mathbf{C}_e^{-1} \mathbf{r} + \mathbf{C}_p^{-1} (\boldsymbol{\eta}_p - \boldsymbol{\eta}_{\theta|y}^i) \right)$$



Friston (2002) NeuroImage,  
16: 513-530.

# EM and gradient ascent

- Bayesian parameter estimation by means of expectation maximisation (EM)
  - **E-step:**  
gradient ascent (Fisher scoring & Levenberg-Marquardt regularisation) to compute
    - (i) the conditional mean  $\eta_{\theta|y}$  (= expansion point of gradient ascent),
    - (ii) the conditional covariance  $C_{\theta|y}$
  - **M-step:**  
Estimation of hyperparameters  $\lambda_i$  for error covariance components  $Q_i$ :  
$$C_e = \sum \lambda_i Q_i$$
- Note: Gaussian assumptions about the posterior (Laplace approximation)

# Parameter estimation: output in command window (new)

E-Step: 1	F: -1.514001e+003	dp: 8.299907e-002
E-Step: 2	F: -1.200724e+003	dp: 9.638851e-001
E-Step: 3	F: -1.115951e+003	dp: 2.703493e-001
E-Step: 4	F: -1.077757e+003	dp: 2.002973e-002
E-Step: 5	F: -1.075699e+003	dp: 4.219233e-003
E-Step: 6	F: -1.075663e+003	dp: 1.030322e-003
E-Step: 7	F: -1.075661e+003	dp: 3.595806e-004
E-Step: 8	F: -1.075661e+003	dp: 2.273264e-006

$$dp = \|\Delta\theta\|_2$$

Change of the norm of  
the parameter vector  
(= magnitude of update)

objective function

$$F = \frac{1}{2} \left( -(\mathbf{y} - h(\boldsymbol{\theta}))^T \mathbf{C}_e^{-1} (\mathbf{y} - h(\boldsymbol{\theta})) - (\boldsymbol{\theta}_{\theta|y} - \boldsymbol{\theta}_p)^T \mathbf{C}_p^{-1} (\boldsymbol{\theta}_{\theta|y} - \boldsymbol{\theta}_p) - \log |\mathbf{C}_e| - \log |\mathbf{C}_p| + \log |\mathbf{C}_{\theta|y}| \right)$$

# Parameter estimation in DCM

- Combining the neural and hemodynamic states gives the complete forward model:

$$x = \{z, s, f, v, q\}$$

$$\theta = \theta^n + \theta^h$$

$$\dot{x} = f(x, u, \theta)$$

$$y = \lambda(x) = h(u, \theta)$$

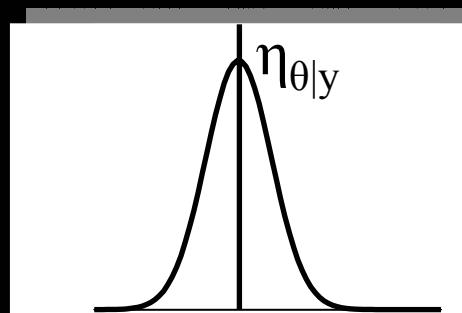
- The observation model includes measurement error  $\varepsilon$  and confounds  $X$  (e.g. drift):

$$y = h(u, \theta) + X\beta + \varepsilon$$

- Bayesian parameter estimation under Gaussian assumptions by means of EM and gradient ascent.

$$y - h(u, \eta_{\theta|y}) \rightarrow \min$$

- Result: Gaussian *a posteriori* parameter distributions with mean  $\eta_{\theta|y}$  and covariance  $C_{\theta|y}$ .



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# DCM parameters = rate constants

Generic solution to the ODEs in DCM:

$$\frac{dz}{dt} = az \quad \longrightarrow \quad z(t) = \exp(at) + c$$

Decay function:

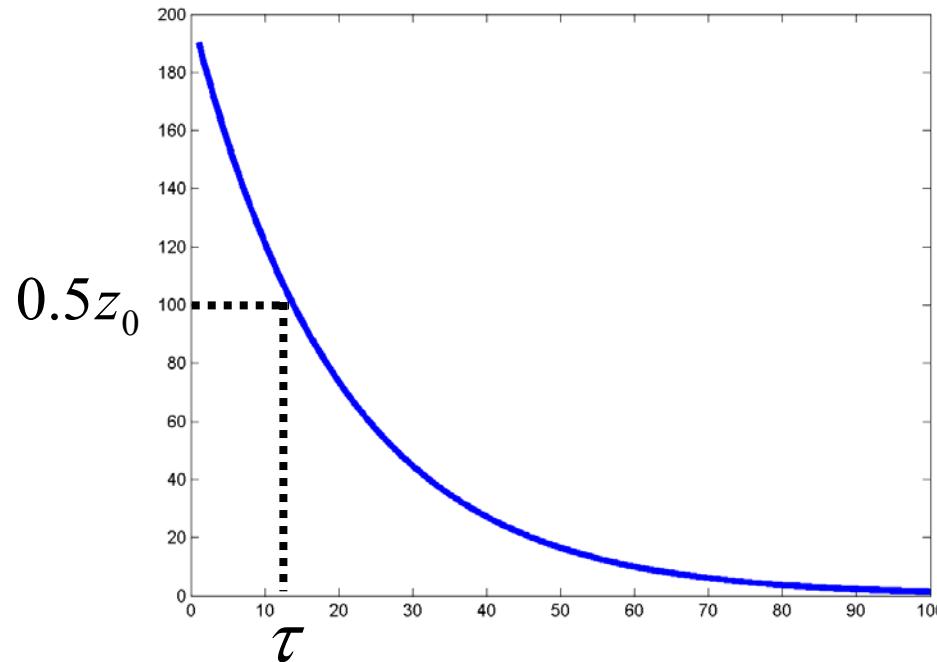
$$z(t) = z_0 \exp(-at)$$

Half-life  $\tau$ :

$$z(\tau) = 0.5z_0$$

$$= z_0 \exp(-a\tau)$$

$$\longrightarrow \boxed{a = \ln 2 / \tau}$$



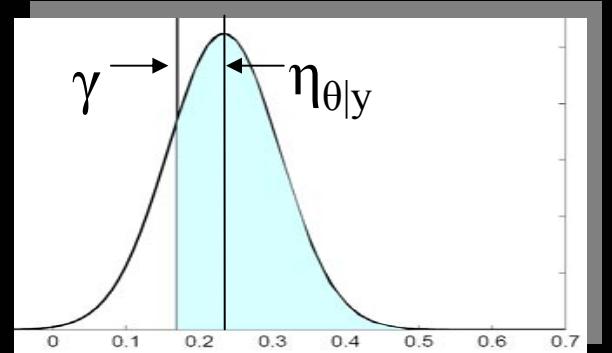
# Interpretation of DCM parameters

- Dynamic model (differential equations)
  - neural parameters correspond to rate constants (inverse of time constants → Hz!)
  - speed at which effects take place
- Identical temporal scaling in all areas by factorising A and B with  $\sigma$ : all connection strengths are relative to the self-connections.
- Each parameter is characterised by the mean ( $\eta_{\theta|y}$ ) and covariance of its *a posteriori* distribution. Its mean can be compared statistically against a chosen threshold  $\gamma$ .

$$\theta^n = \{A, B, C, \sigma\}$$

$$p = \ln 2 / \tau_p$$

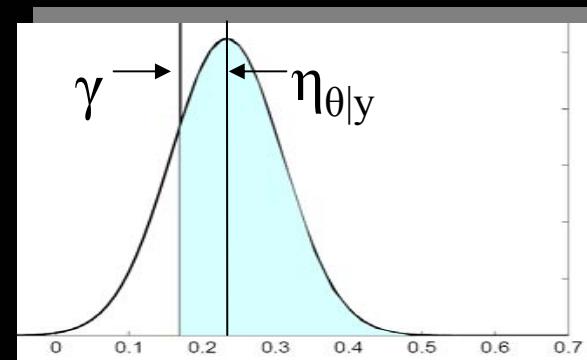
$$A \rightarrow \sigma A = \sigma \begin{bmatrix} -1 & a_{12} & \dots \\ a_{21} & -1 & \dots \\ \vdots & & \ddots \end{bmatrix}$$



# Inference about DCM parameters: single-subject analysis

- Bayesian parameter estimation in DCM: Gaussian assumptions about the *a posteriori* distributions of the parameters
- Use of the cumulative normal distribution to test the probability by which a certain parameter (or contrast of parameters  $c^T \eta_{\theta|y}$ ) is above a chosen threshold  $\gamma$ :

$$p = \phi_N \left( \frac{c^T \eta_{\theta|y} - \gamma}{\sqrt{c^T C_{\theta|y} c}} \right)$$



- $\gamma$  can be chosen as a function of the expected half life of the neural process, e.g.  $\gamma = \ln 2 / \tau$

# Inference about DCM parameters: group analysis

- In analogy to “random effects” analyses in SPM, 2<sup>nd</sup> level analyses can be applied to DCM parameters:

Separate fitting of identical  
models for each subject

Selection of bilinear parameters  
of interest

one-sample t-test:  
parameter > 0 ?

paired t-test:  
parameter 1 >  
parameter 2 ?

rmANOVA:  
e.g. in case of multiple  
sessions per subject

# Overview

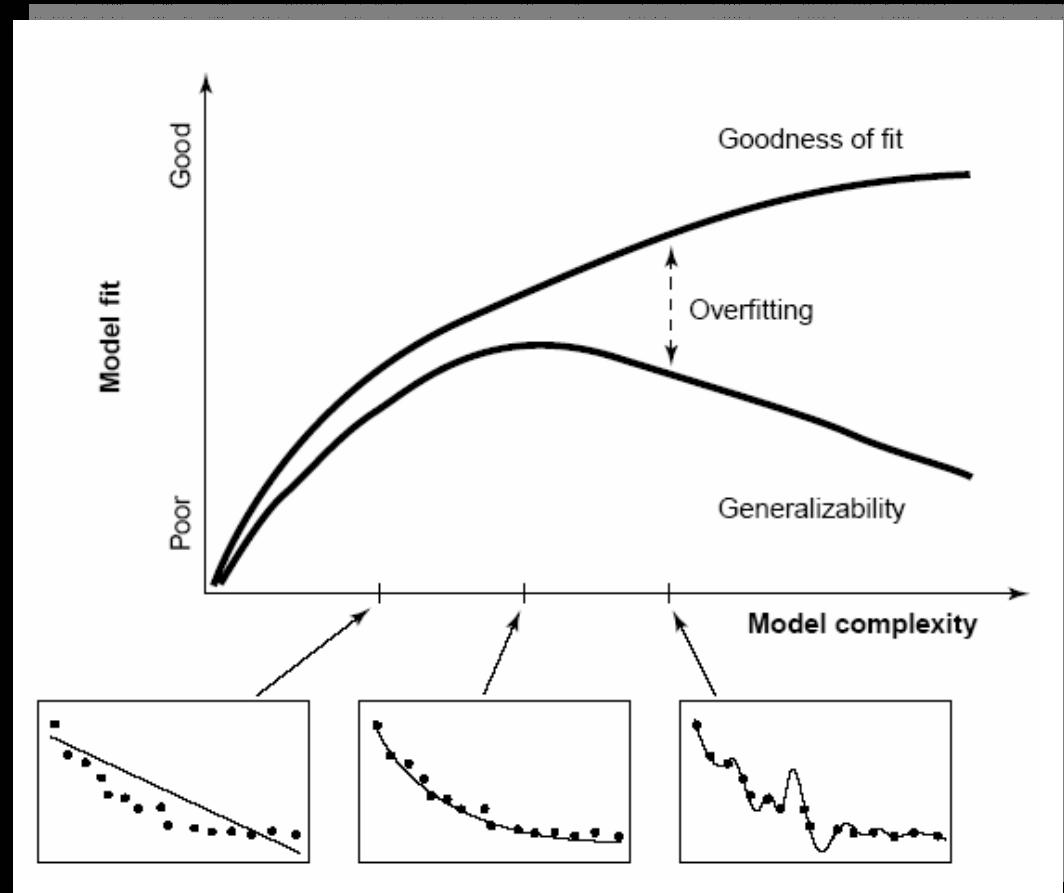
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# Model comparison and selection

Given competing hypotheses on structure & functional mechanisms of a system, which model is the best?



For which model  $i$  does  $p(y|m_i)$  become maximal?



Pitt & Miyung (2002), TICS

Which model represents the best balance between model fit and model complexity?

# Bayesian Model Selection

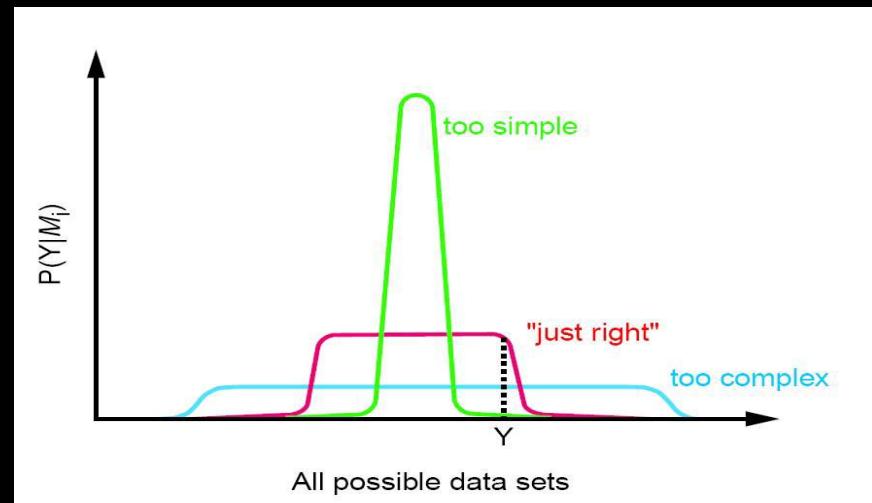
Bayes theorem:

$$p(\theta | y, m) = \frac{p(y | \theta, m)p(\theta | m)}{p(y | m)}$$

Model evidence:

$$p(y | m) = \int p(y | \theta, m) \cdot p(\theta | m) d\theta$$

Occam's Razor:



# Bayesian Model Selection

Model evidence:

$$p(y | m) = \int p(y | \theta, m) \cdot p(\theta | m) d\theta$$

Laplace  
approximation:

$$\begin{aligned} F &= \text{accuracy}(m) - \text{complexity}(m) \\ &= -\frac{1}{2} \log |\mathbf{C}_e| - \frac{1}{2} (\mathbf{y} - h(\boldsymbol{\theta}))^T \mathbf{C}_e^{-1} (\mathbf{y} - h(\boldsymbol{\theta})) \\ &\quad - \frac{1}{2} (\boldsymbol{\theta}_{\theta|y} - \boldsymbol{\theta}_p)^T \mathbf{C}_p^{-1} (\boldsymbol{\theta}_{\theta|y} - \boldsymbol{\theta}_p) - \frac{1}{2} \log |\mathbf{C}_p| + \frac{1}{2} \log |\mathbf{C}_{\theta|y}| \end{aligned}$$

The log model  
evidence can be  
represented as:

$$\log p(y | m) = \text{accuracy}(m) - \text{complexity}(m)$$

# Approximations to model evidence

Bayesian information criterion (BIC):

$$BIC(y | m) = \text{accuracy}(m) - \frac{p}{2} \log N_s$$

Akaike information criterion (AIC):

$$AIC(y | m) = \text{accuracy}(m) - p$$

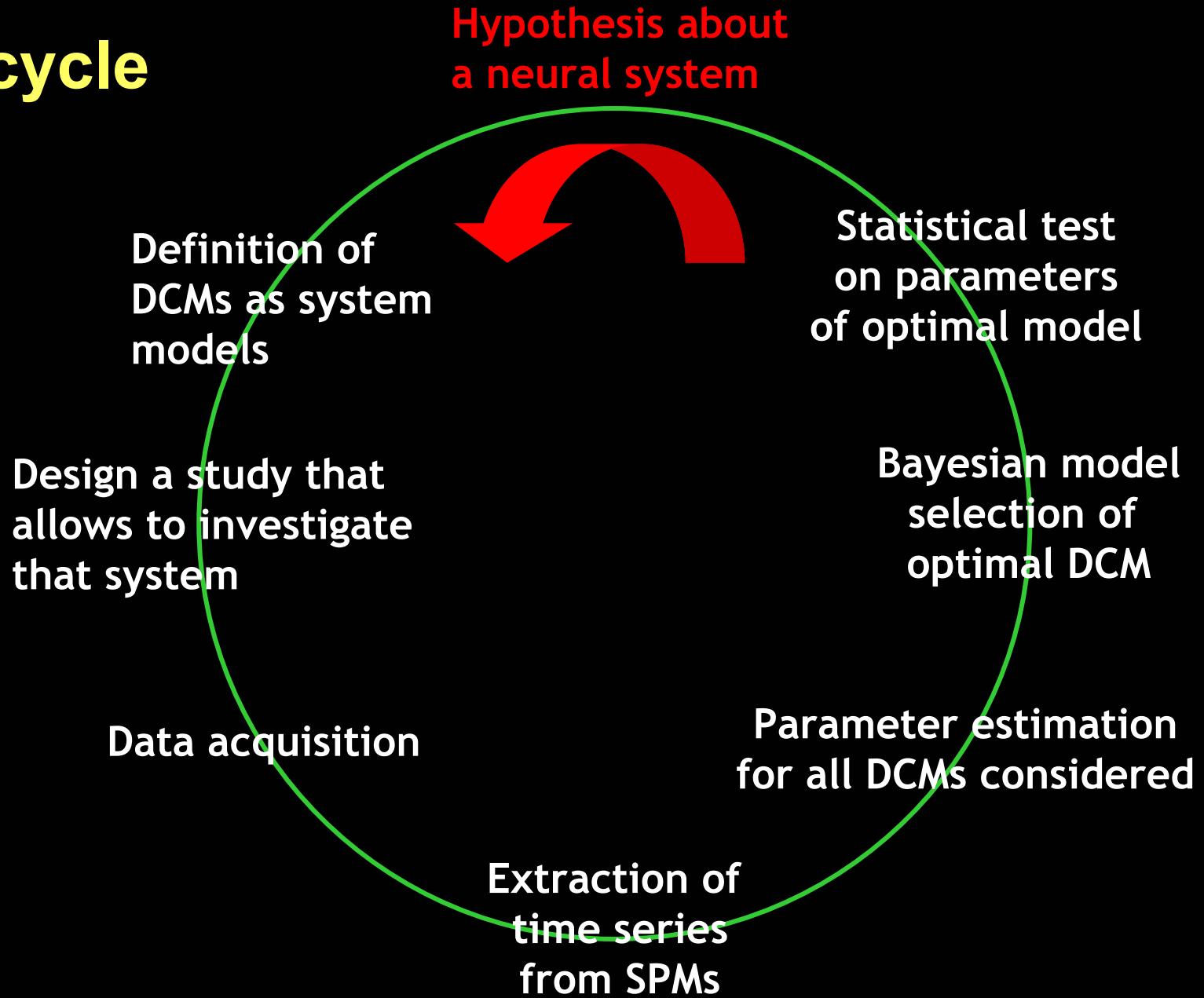
Bayes factor:

$$B_{ij} = \frac{p(y | m = i)}{p(y | m = j)}$$

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- Example: attention to visual motion

# The DCM cycle

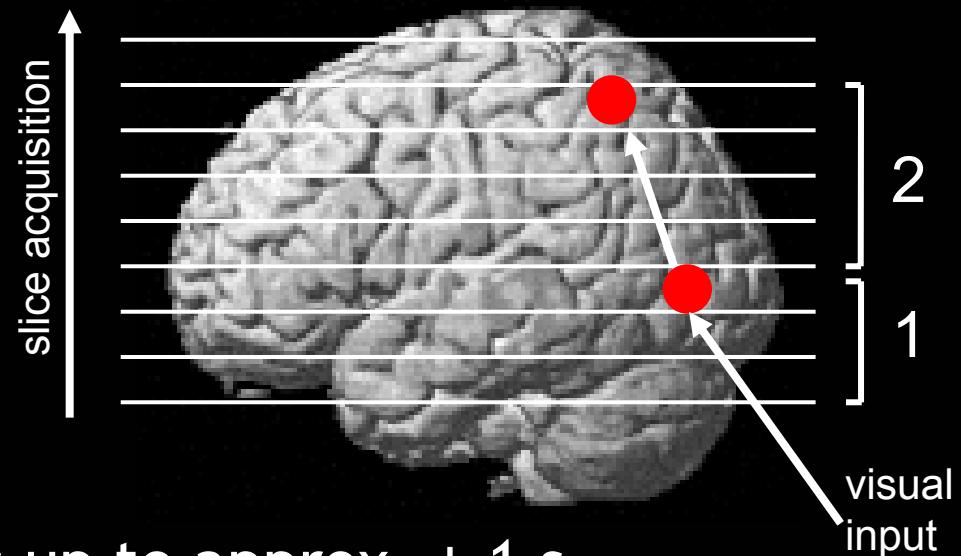


# Planning a DCM-compatible study

- Suitable experimental design:
  - preferably multi-factorial (e.g. 2 x 2)
  - e.g. one factor that varies the driving (sensory) input
  - and one factor that varies the contextual input
- Hypothesis and model:
  - define specific *a priori* hypothesis
  - Which alternative models?
  - which parameters are relevant to test this hypothesis?
- TR:
  - as short as possible (optimal: < 2 s)

# Timing problems at long TRs

- Two potential timing problems in DCM:
  1. wrong timing of inputs
  2. temporal shift between regional time series because of multi-slice acquisition
- DCM is robust against timing errors up to approx.  $\pm 1$  s
  - compensatory changes of  $\sigma$  and  $\theta^h$
- Possible corrections:
  - restriction of the model to neighbouring regions
  - in both cases: adjust temporal reference bin in SPM defaults (`defaults.stats.fmri.t0`)



# Practical steps of a DCM study - I

## 1. Conventional SPM analysis (subject-specific)

- DCMs are fitted separately for each session
  - consider concatenation of sessions or adequate 2<sup>nd</sup> level analysis

## 2. Extraction of time series, e.g. via VOI tool in SPM

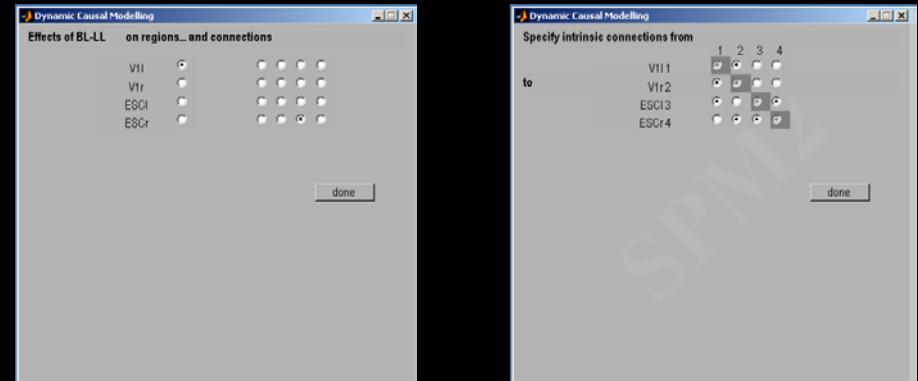
- cave: anatomical & functional standardisation important for group analyses!

# Practical steps of a DCM study - II

3. Possibly definition of a new design matrix, if the “normal” design matrix does not represent the inputs appropriately.
  - NB: DCM only reads timing information of each input from the design matrix, no parameter estimation necessary.

## 4. Definition of model

- via DCM-GUI or directly in MATLAB



# Practical steps of a DCM study - III

## 5. DCM parameter estimation

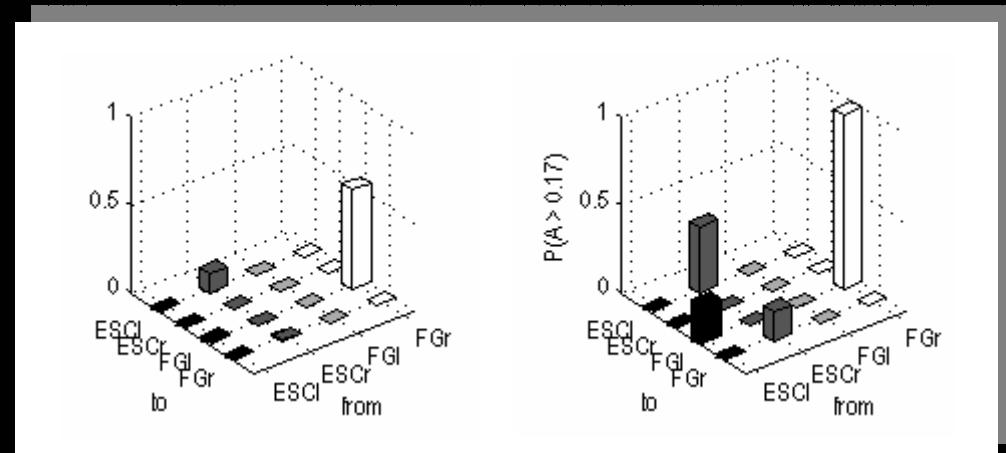
- cave: models with many regions & scans can crash MATLAB!

## 6. Model comparison and selection:

- Which of all models considered is the optimal one?  
→ Bayesian model selection tool

## 7. Testing the hypothesis

Statistical test on  
the relevant parameters  
of the optimal model



# Overview

- DCM - Conceptual overview
- Neural and hemodynamic levels in DCM
- Parameter estimation
  - Priors in DCM
  - Bayesian parameter estimation in non-linear systems
- Interpretation of parameters
- Bayesian model selection
- Practical steps of a DCM study
- Example: attention to visual motion

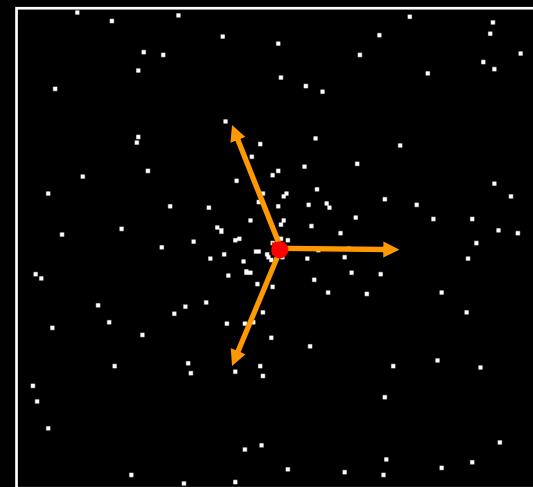
# Attention to motion in the visual system

Stimuli 250 radially moving dots at 4.7 degrees/s

## Pre-Scanning

5 x 30s trials with 5 speed changes (reducing to 1%)

Task - detect change in radial velocity



## Scanning (no speed changes)

6 normal subjects, 4 x 100 scan sessions;  
each session comprising 10 scans of 4 different  
conditions

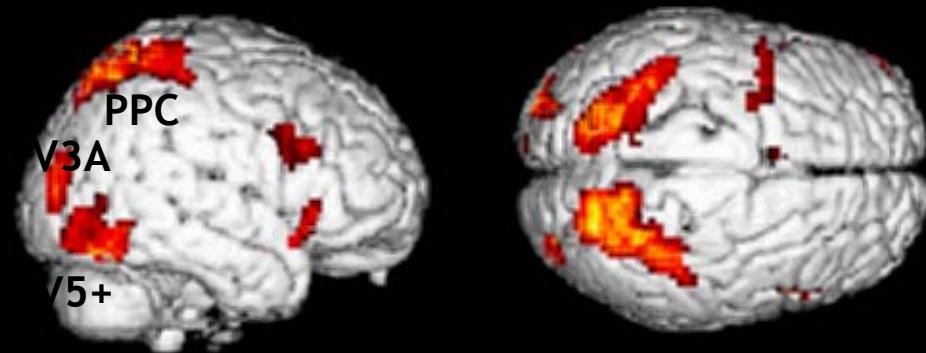
F A F N F A F N S .....

F - fixation point only

A - motion stimuli with attention (detect changes)

N - motion stimuli without attention

S - no motion

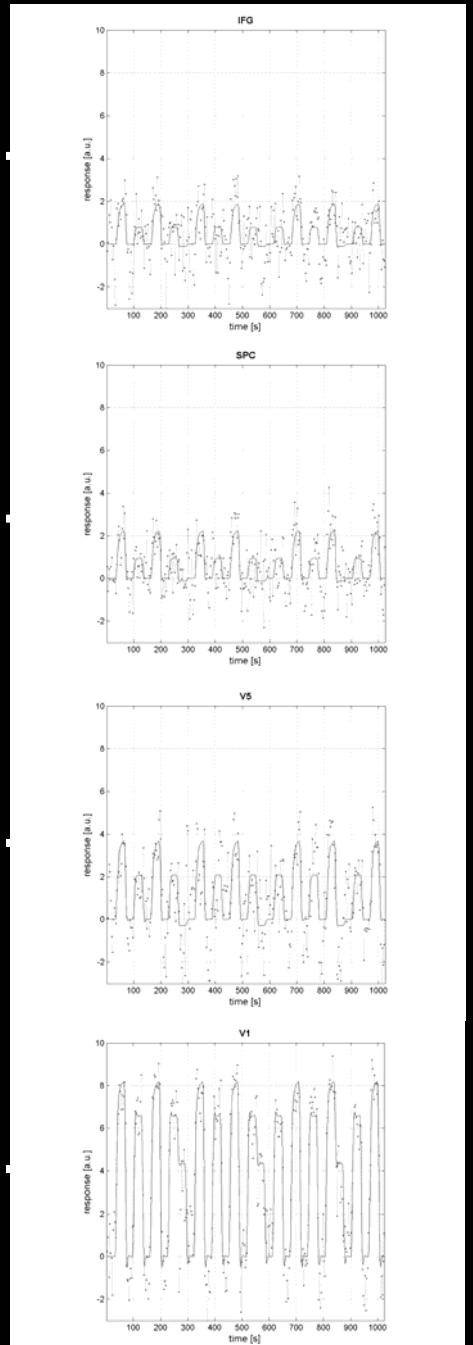
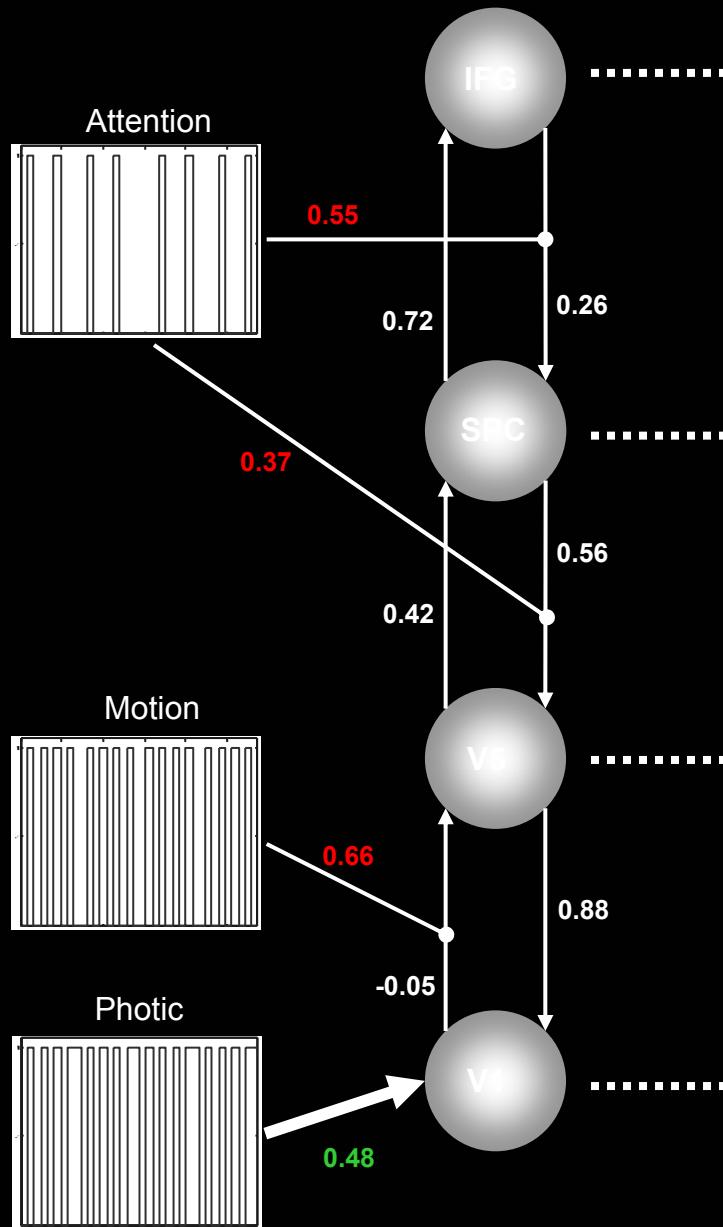


Attention - No attention

Büchel & Friston 1997, Cereb. Cortex  
Büchel et al. 1998, Brain

# A simple DCM of the visual system

- Visual inputs drive V1, activity then spreads to hierarchically arranged visual areas.
- Motion modulates the strength of the  $V1 \rightarrow V5$  forward connection.
- The intrinsic connection  $V1 \rightarrow V5$  is insignificant in the absence of motion ( $a_{21}=-0.05$ ).
- Attention increases the backward-connections IFG  $\rightarrow$  SPC and SPC  $\rightarrow$  V5.

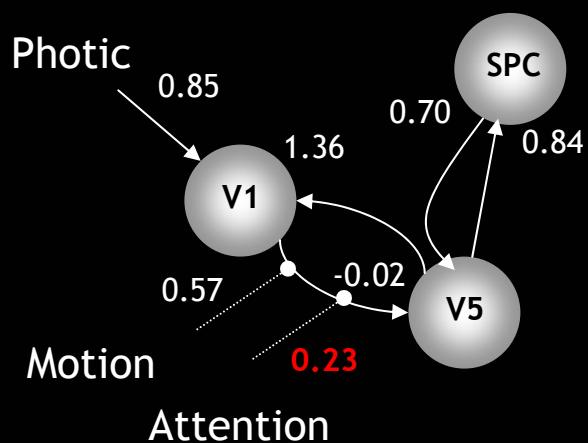


Re-analysis of data from  
Friston et al., NeuroImage 2003

# Comparison of three simple models

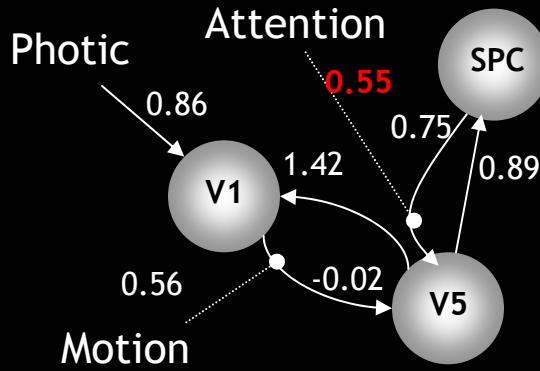
## Model 1:

attentional modulation  
of V1→V5



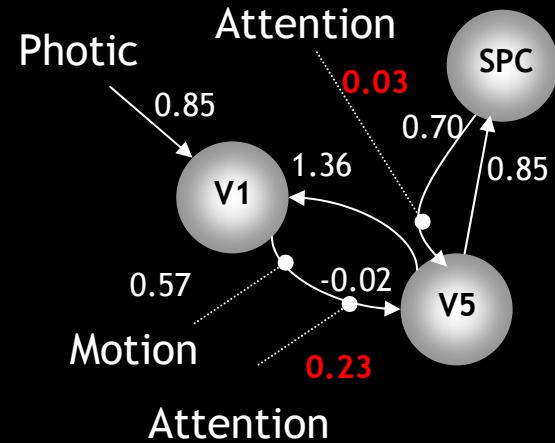
## Model 2:

attentional modulation  
of SPC→V5



## Model 3:

attentional modulation  
of V1→V5 and SPC→V5



Bayesian model selection:

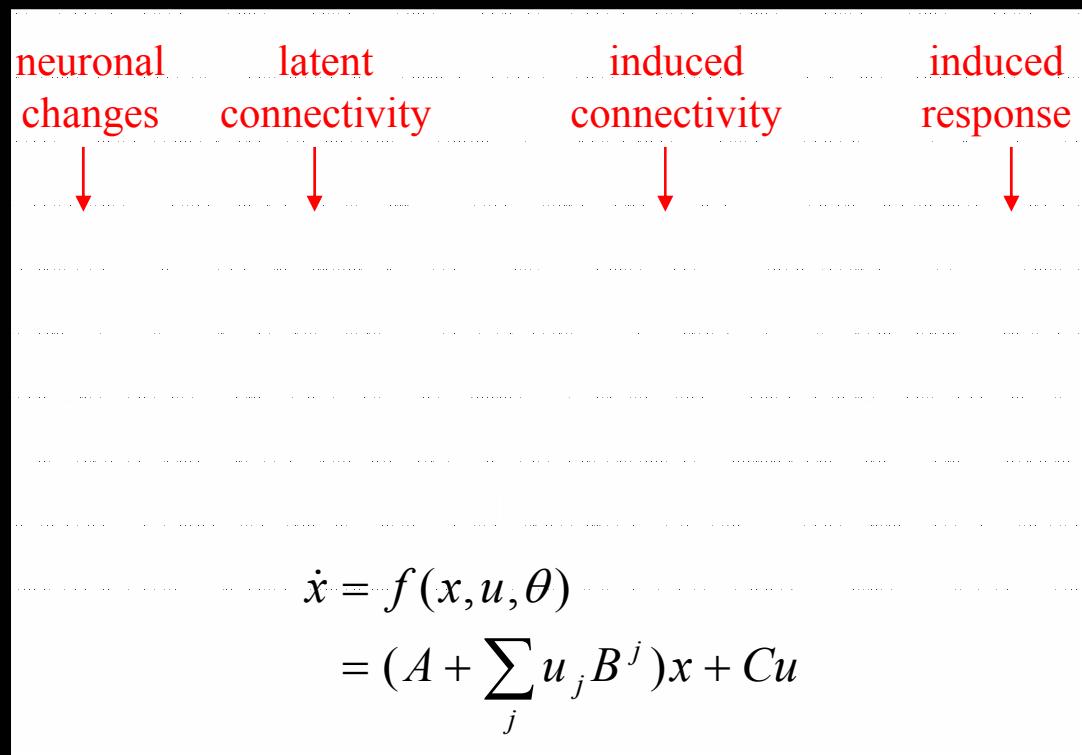
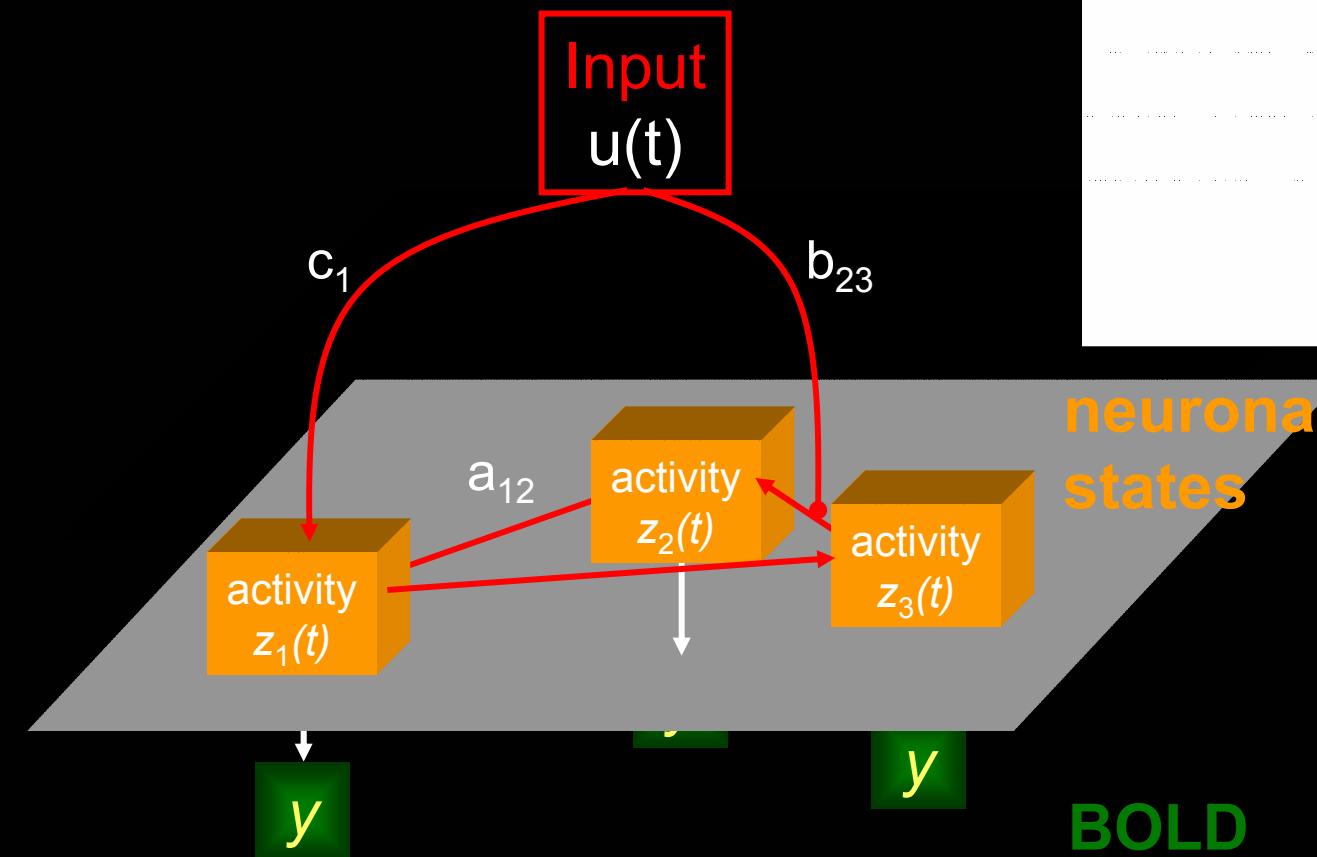
→ Decision for model 1:

Model 1 better than model 2,  
model 1 and model 3 equal

in this experiment, attention  
primarily modulates V1→V5

# Neuronal model

## Summary



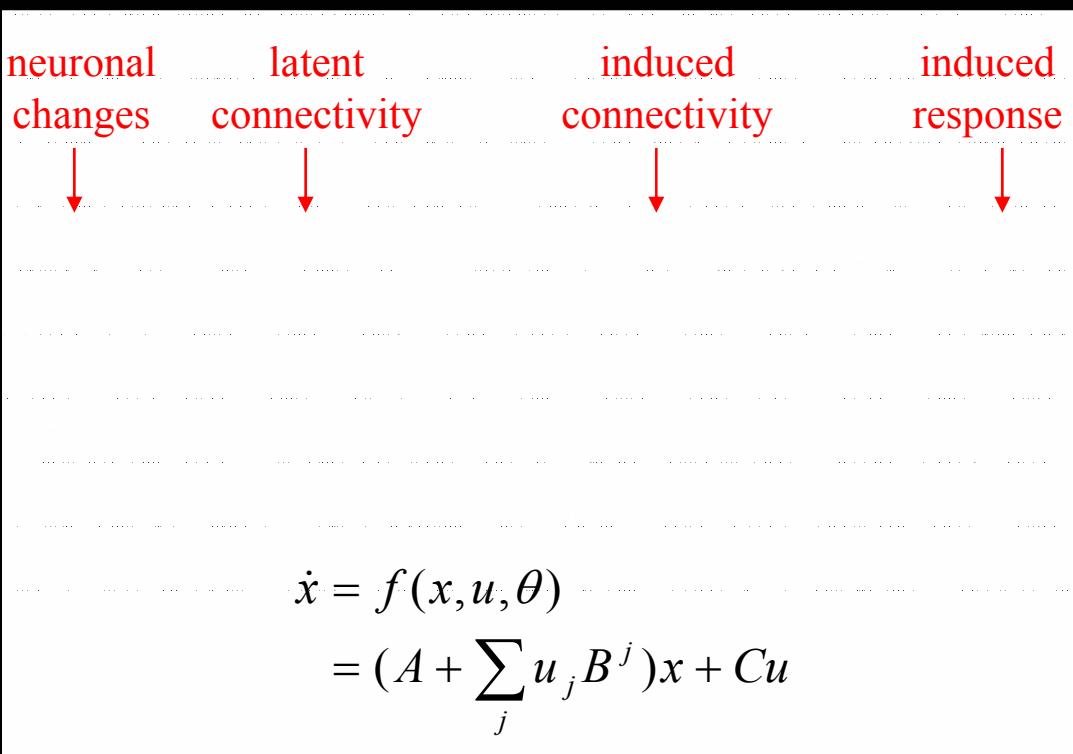
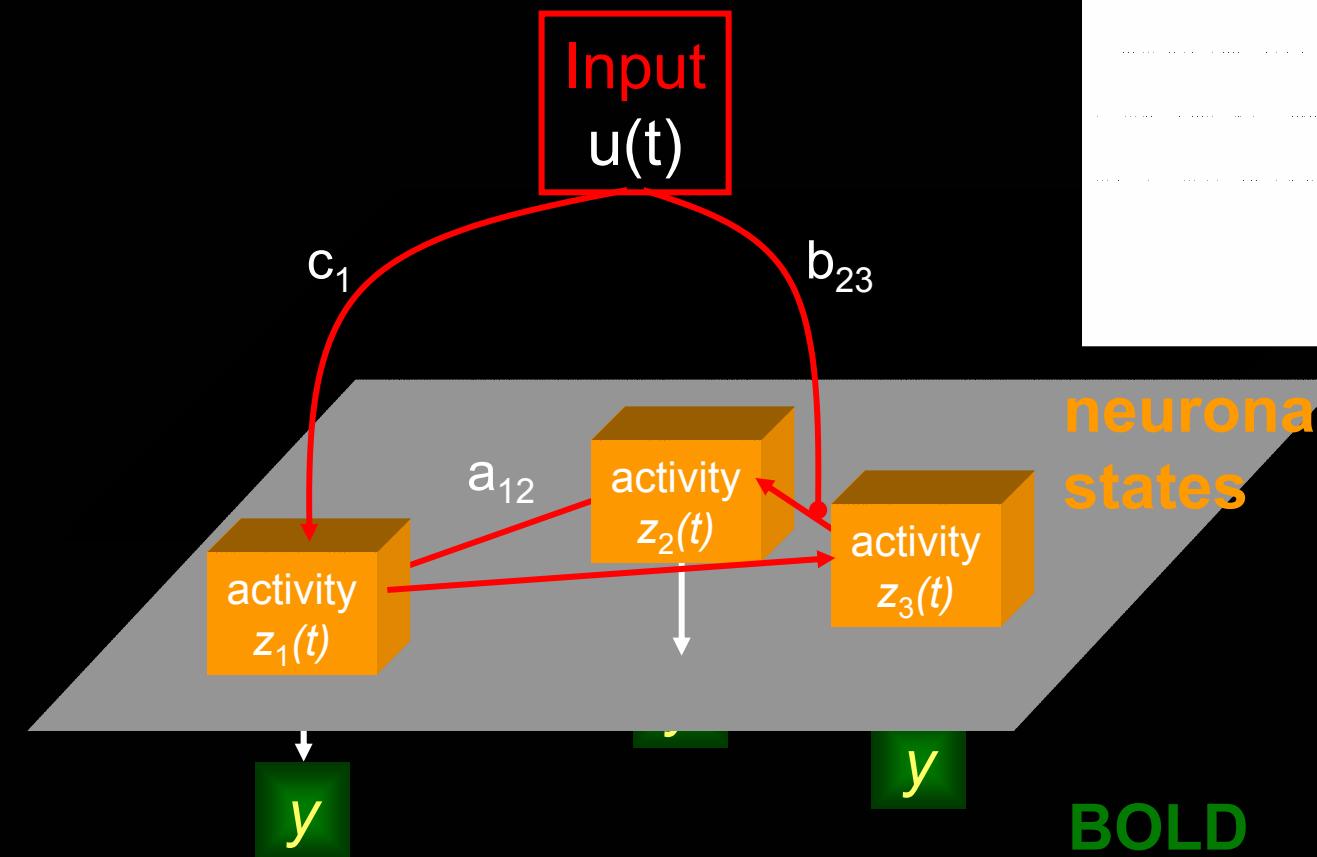
$$\theta = \{A, B, C\}$$

$$y(t) = \lambda(z, \theta)$$

Hemodynamic model

# Neuronal model

## Summary



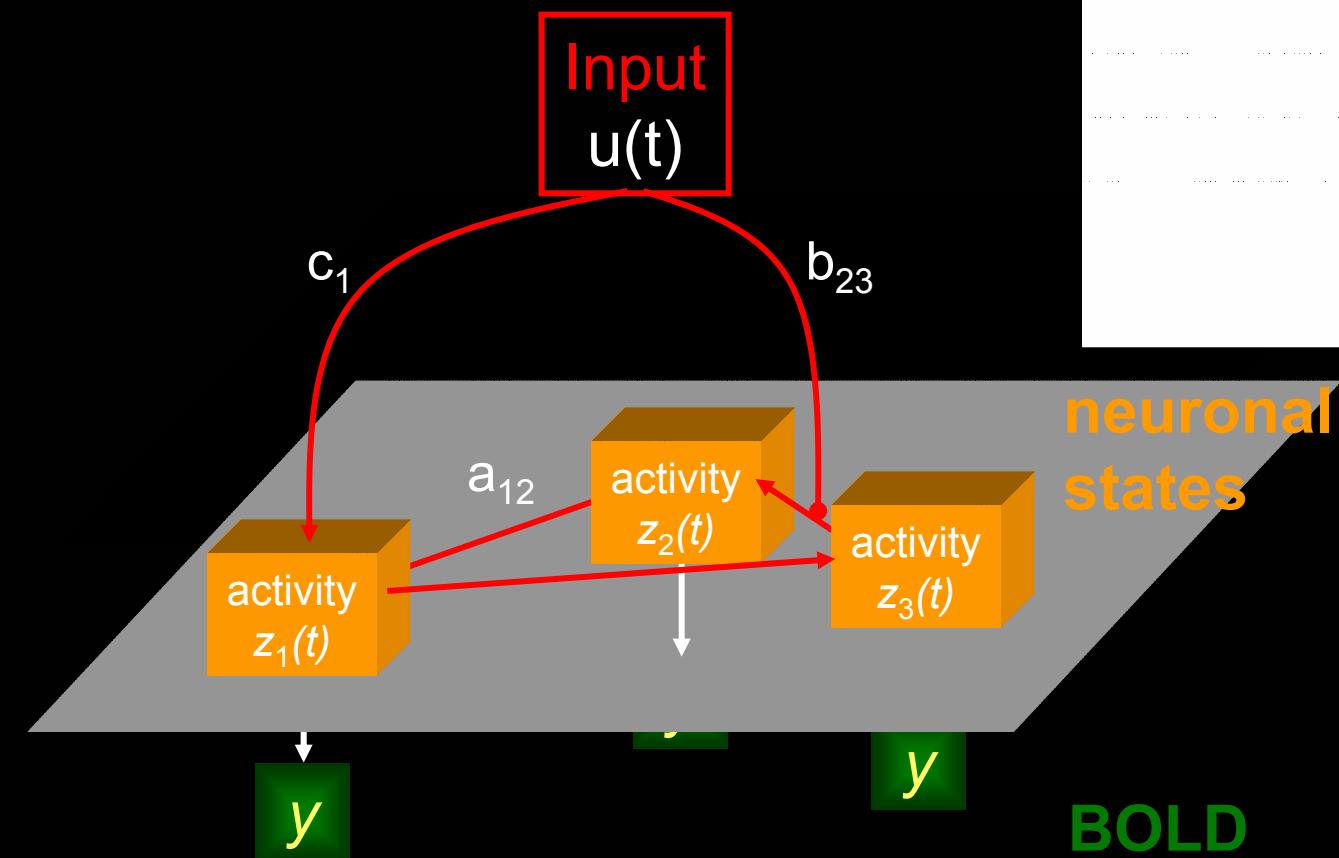
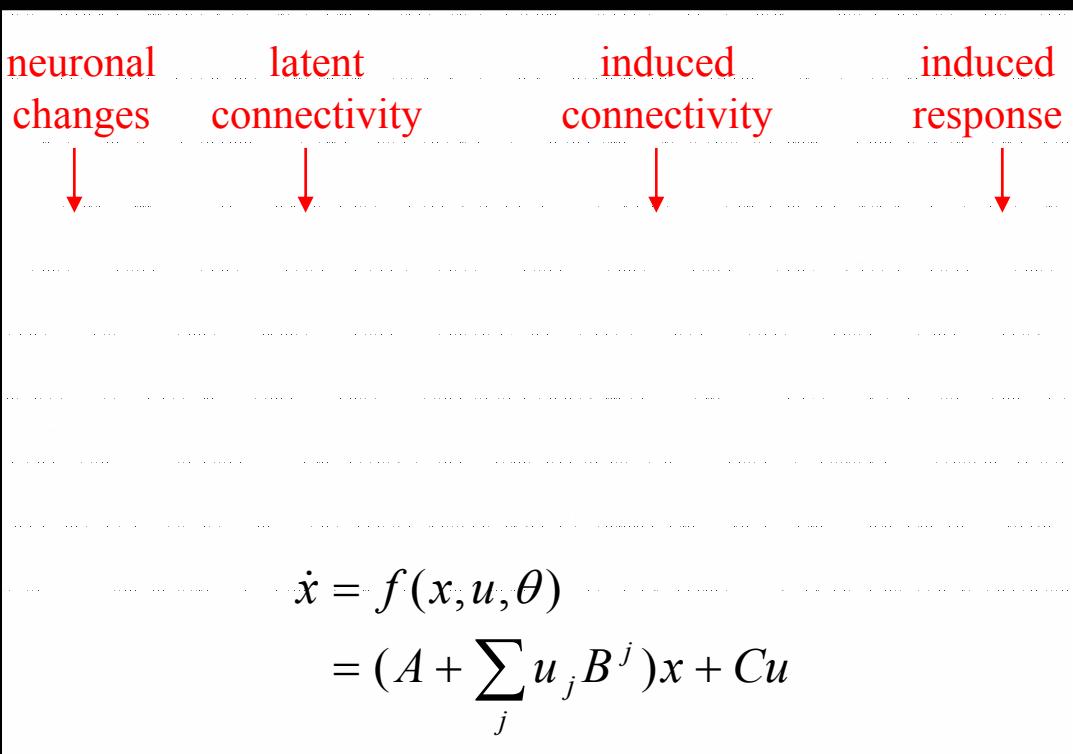
$$\begin{aligned}\dot{x} &= f(x, u, \theta) \\ &= (A + \sum_j u_j B^j)x + Cu\end{aligned}$$

$$\begin{array}{c} \downarrow \\ \theta = \{A, B, C\} \\ \uparrow \\ y(t) = \lambda(z, \theta) \end{array}$$

Hemodynamic model

# Neuronal model

## Summary



$$\theta = \{A, B, C\}$$

$$y(t) = \lambda(z, \theta)$$

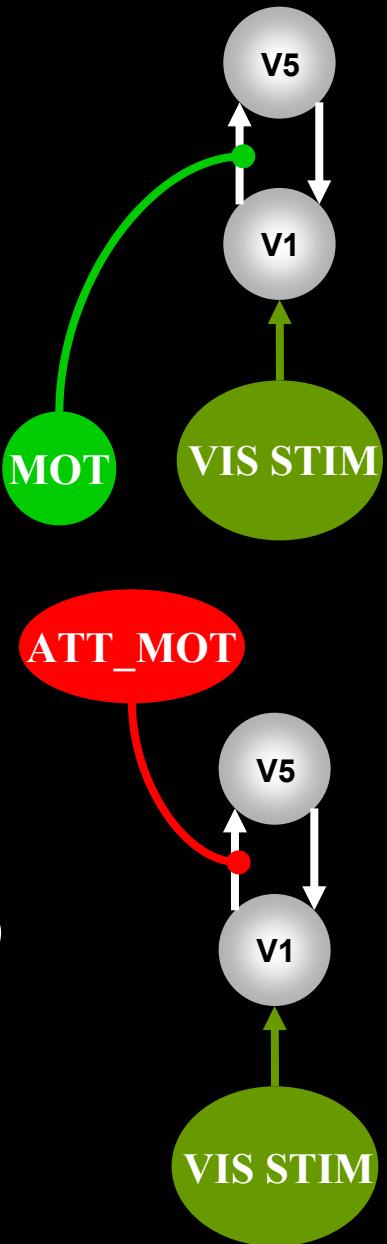
Hemodynamic model

# Modelling with DCM: bottom-up & gain control effects

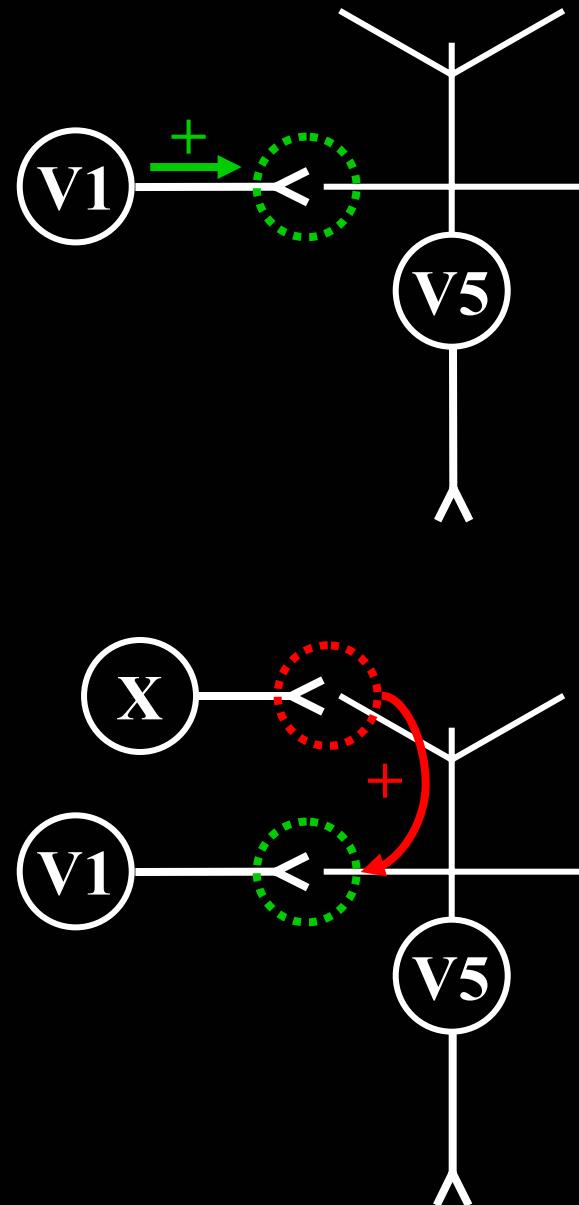
Depending on the nature of the contextual factor, modulation of a forward-connection can both represent bottom-up- and top-down-effects.

bottom-up-effect  
  
top-down-effect  
(*gain control*)

DCM



Neurophysiology



# Modelling with DCM: baseline shifts

## Model A:

tests the existence of a baseline shift (BS) under ATT\_MOT in V5

Hypothesis:  $c_{22} > \gamma$

## Model B:

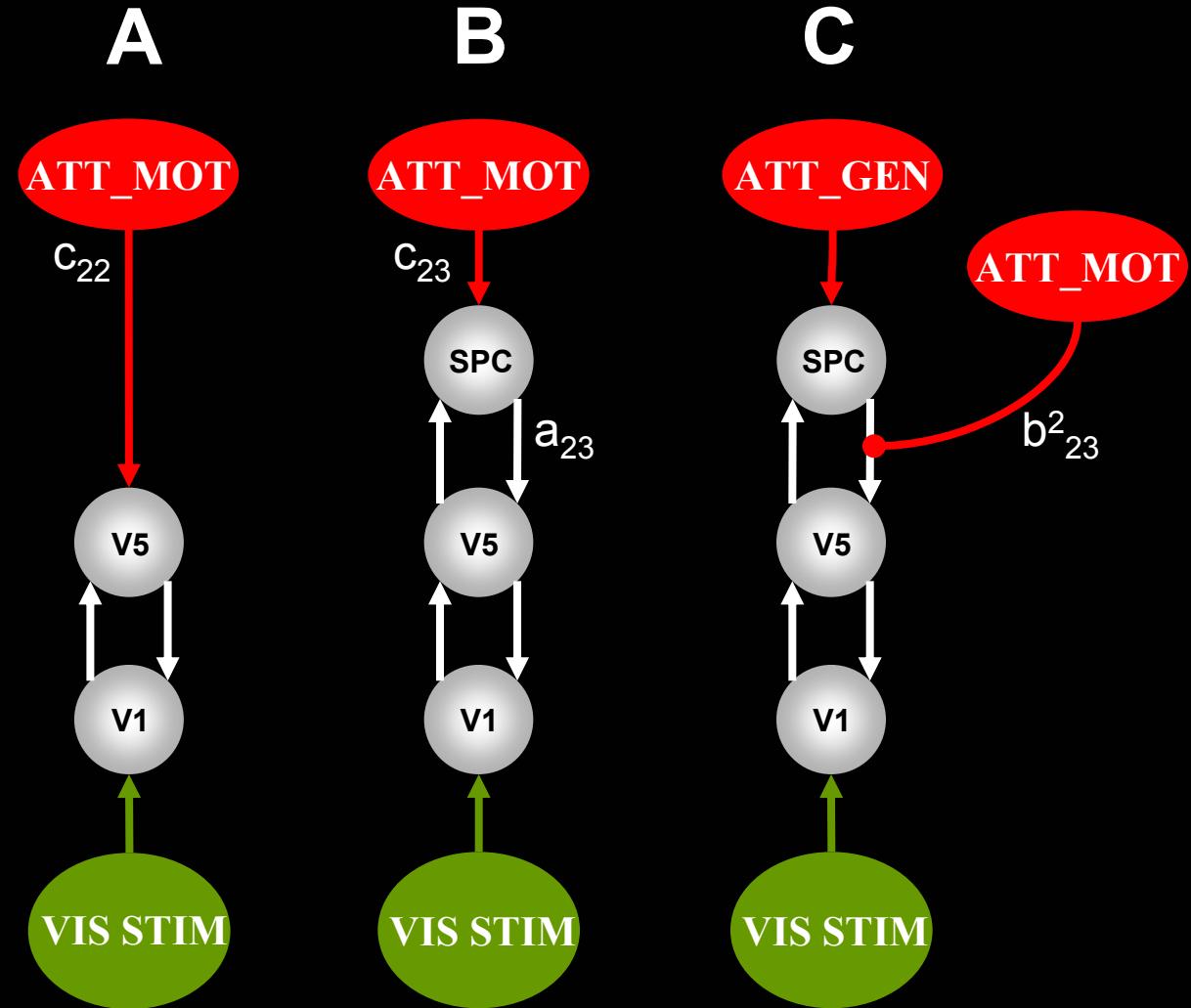
tests whether there is a BS under ATT\_MOT in SPC that is conveyed to V5 via the backward connection

Hypothesis:  $c_{23} > \gamma_1, a_{23} > \gamma_2$

## Model C:

tests whether a general attentional BS occurs in SPC that is conveyed to V5 via the backward connection during ATT\_MOT

Hypothesis:  $b^2_{23} > \gamma$



- |          |   |   |
|----------|---|---|
| VIS STIM | = | visual stimuli ( $u_1$ )                          |
| ATT_MOT  | = | attention to motion ( $u_2$ )                     |
| ATT_GEN  | = | general attention of arbitrary modality ( $u_3$ ) |
| $\gamma$ | = | chosen statistical threshold                      |